



# 2021 Annual Listing of Federal Obligations

# 2021 ANNUAL LISTING OF FEDERAL OBLIGATIONS



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The **Skagit Council of Governments** is the designated metropolitan planning organization and regional transportation planning organization for the Skagit region.

## INTRODUCTION

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The 2021 Annual Listing of Federal Obligations lists the projects in the Skagit region that obligated federal transportation funds last year from the Federal Highway Administration and Federal Transit Administration. Developed in partnership with Skagit Transit and the Washington State Department of Transportation (WSDOT), this document demonstrates the coordination between Skagit Council of Governments (SCOG) member agencies to implement regional transportation priorities.

### REQUIREMENTS

Federal regulations ([23 CFR 450.334](#)) require that SCOG publish a list of all projects in the Skagit region that obligated funding from the Federal Highway Administration (FHWA) or Federal Transit Administration (FTA) during the last program year. In Washington state, the program year is the same as the calendar year. Federal law also requires the 2021 Annual Listing of Federal Obligations be published no later than 90 days after the year ends. SCOG works cooperatively with WSDOT and Skagit Transit to meet these federal requirements each year.

The list of obligated projects must include sufficient descriptions of each project (type of work, project termini, total length, etc.). The list must also include: the amount of obligated funding for each project in 2021; the amount of federal funding requested in the 2021 Regional Transportation Improvement Program for projects that obligated funding; and the amount of federal funding available for future program years.

The annual listing is published on SCOG's website by the end of March each year with paper copies available upon request.

### OTHER PURPOSES

Another purpose of the 2021 Annual Listing of Federal Obligations is to evaluate the Skagit region's effectiveness at implementing regional transportation projects. By tracking the funds obligated during the

## OBLIGATION

Obligation is defined as the federal government's legal commitment to pay the federal share of a project's cost. For Federal Transit Administration projects, obligation occurs when the FTA grant is awarded. For Federal Highway Administration projects, obligation occurs when a project agreement is executed and the State/grantee requests that the funds be obligated.

previous program year, SCOG can monitor its success delivering projects in their planned timeframes.

## REGIONAL TRANSPORTATION PLANNING AND PROGRAMMING

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SCOG facilitates a continuous, cooperative and comprehensive multimodal transportation planning process through its regional transportation planning efforts. Regional transportation priorities are identified through the development of the long-range regional transportation plan. Medium-range regional transportation priorities are identified in the six-year regional transportation improvement program.

### REGIONAL TRANSPORTATION PLAN

SCOG's long-range regional transportation plan is the strategic framework for meeting the Skagit region's existing and future transportation needs. The [Skagit 2045 Regional Transportation Plan](#) (Skagit 2045) identifies the region's transportation goals through 2045. Transportation priorities include maintaining existing roadways and enhancing the transportation network through regionally significant projects.

Projects identified in Skagit 2045 are developed through the comprehensive planning process of cities, towns, tribes, Skagit Transit and Skagit County. Skagit 2045 is also consistent with federal and state requirements, serving as a link between local planning efforts and the statewide Washington Transportation Plan.

As projects in Skagit 2045 draw closer to implementation, they are often programmed in SCOG’s medium-range program of projects – the [2022–2027 Regional Transportation Improvement Program](#).

## REGIONAL TRANSPORTATION IMPROVEMENT PROGRAM

The 2022–2027 Regional Transportation Improvement Program (RTIP) includes projects with secured federal transportation funding, as well as projects that are regionally significant. Projects included in the program are expected to obligate funding within its six-year timeframe.

Projects included in the first year of the RTIP are expected to obligate their secured federal funding during the current program year. Within 90 days of that program year’s end date, each obligated project should be included in the annual listing of federal obligations for that year.



PHOTO CREDIT: CITY OF MOUNT VERNON

Occasionally, project obligations do not occur in the year in which they are programmed. Projects that commit to obligating funds in the current year and fail to do so may be sanctioned. Additionally, projects programmed in the second to fourth years of the RTIP can occasionally obligate funding in the current program year. SCOG coordinates a regional process every year to monitor obligations as they occur, and ensure that the Skagit region is delivering projects

to help meet the regional portion of the statewide obligation authority target.

Projects in the first four years of the RTIP are forwarded to WSDOT to be included in the [Statewide Transportation Improvement Program](#).

To obligate federal funding, a project from the Skagit region must first be programmed in the RTIP, and then the Statewide Transportation Improvement Program. Federal authorizations occur only after regional and statewide programming requirements are met.

## ANNUAL LISTING OF FEDERAL OBLIGATIONS

The 2021 Annual Listing of Federal Obligations – displayed in **Table 1** – includes all the projects in the Skagit region that obligated relevant federal funds in 2021. The table includes the total programmed<sup>1</sup> amount of federal funding for each obligated project in the 2021–2026 Regional Transportation Improvement Program. Obligations are included for each project phase that received federal authorization last year. Many projects have additional phases that will obligate federal funding in future years. The listing also records the funding available to complete the project, unless the amount obligated exceeded the programmed amount. These overages are displayed as “Over Obligated” in the table.

Obligations reported by WSDOT for programmatic projects (e.g. Asphalt/Chip Seal Preservation and Concrete Roadway Preservation) are combined together into their respective programs. These programmatic expenditures are often referred to as “buckets” of funding for these types of projects.

For any projects with “FTA Transfer” in the title, the date of obligation is reported as the date the transfer of funds between the Federal Highway Administration and Federal Transit Administration was approved. This method of reporting is consistent with how obligation authority is tracked in the Skagit region and statewide.

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<sup>1</sup> Only includes Available and Committed funds programmed in RTIP and STIP per 23 CFR 450.104 Definitions (i.e. “Secured” funds in RTIP). Other reasonably anticipated funds are not included in Table 1 (i.e. “Planned” funds in RTIP).

**TABLE 1: 2021 ANNUAL LISTING OF FEDERAL OBLIGATIONS**

Agency	Project	STIP ID	Federal Project #	Type of Work	Begin	End	Length (in miles)	Obligation Date	Phase	2021-2026 RTIP Federal Programming (Project)	2021 Federal Obligations	Federal Funding Remaining (Project)	Fund Type
Skagit Transit	Operating Funds	WA-07306	WA-2021-024; WA-2021-062	Transit & Ferries	N/A	N/A	N/A	4/20/2021; 8/20/2021	All	\$6,700,000	\$11,132,645	\$6,700,000	5307
Skagit Transit	Skagit Transit Bus Stop Re-Construction	WA-09860	WA-2021-057	Transit & Ferries	N/A	N/A	N/A	2/17/2021	All	\$109,086	\$109,086	\$0	STP-US
Skagit Transit	Bus Stop Sign Project	WA-13456	WA-2021-057	Transit & Ferries	N/A	N/A	N/A	8/20/2021	All	\$40,000	\$40,000	\$0	5339
Skagit Transit	Paratransit Passenger Portal Software	WA-13457	WA-2021-057	Transit & Ferries	N/A	N/A	N/A	8/20/2021	All	\$68,000	\$68,000	\$0	5339
Mount Vernon	Freeway Drive (Cameron Way to College Way)	T-97-07	7333(001)	Mobility	Cameron Wy.	College Wy.	0.7	5/20/2021	CN	\$1,650,000	\$1,650,000	\$0	STP-US
Anacortes	32nd Street and M Avenue Intersection Improvements	WA-10697	6210(004)	Safety	32nd St./M Ave.	32nd St./M Ave.	0	9/16/2021	CN	\$1,015,000	\$1,480,265	Over Obligated	HSIP
Burlington	George Hopper Road Signal Enhancements	WA-09298	7298(001)	Maintenance & Preservation	Burlington Blvd.	Costco Dr.	0.25	4/5/2021; 7/26/2021	CN	\$668,573	\$1,262,657	Over Obligated	HSIP
Skagit Co.	Install/Upgrade Guardrail with Reflectors	WA-12547	000S(578)	Safety	Varies	Varies	1.38	4/26/2021; 7/26/2021	PE, CN	\$606,600	\$765,941	Over Obligated	HSIP
Sedro-Woolley	SR20/SR 9 - Township Intersection Improvements	SW33	0020(199)	Mobility	MP 66.08	MP 66.18	0.10	9/24/2021	CN	\$609,825	\$609,825	\$0	STP-US
Skagit Co.	Guemes Ferry Terminal (Girder Replacement)	WA-11616	6240(003)	Safety	N/A	N/A	N/A	9/23/2021	CN	\$143,940	\$255,474	Over Obligated	FBP
SCOG	SCOG Admin 2018-2021	SCOG 18-21	PD22(001)	Other	MPO-wide	MPO-wide	N/A	7/2/2021	PL	\$167,541	\$167,541	\$0	STP-US
Skagit Co.	Prairie Road Signing & Guideposts	WA-12501	T292(002)	Safety	MP 0.00	MP 7.79	7.79	5/10/2021	PE	\$68,500	\$13,000	\$55,500	HSIP
Skagit Co.	Illuminate Channelized Intersections	WA-12500	000S(579)	Safety	Varies	Varies	0	5/11/2021	PE	\$71,200	\$7,000	\$64,200	HSIP
Skagit Co.	Josh Wilson Road - Phase 1	WA-06522	7318(001)	Maintenance & Preservation	Avon Allen Rd.	Jensen Rd.	1.13	12/10/2021	PE	\$0	\$600	\$0	STP-R
WSDOT	I-5/Southbound Samish River - Bridge Deck Overlay	WA-11016	0055(253)	Maintenance & Preservation	MP 234.04	MP 234.08	0.04	10/26/2021	PE, CN	\$1,353,582	\$930,965	\$422,617	IM, NHPP
WSDOT	Asphalt/Chip Seal Preservation Skagit Council of Governments SCOG	WA-08601	0055(255)	Maintenance & Preservation	Varies	Varies	Varies	10/18/2021	PE	\$2,960,903	\$266,252	\$2,694,651	NHPP
WSDOT	SR 20/Deception and Canoe Pass Bridges - Soil Abatement	WA-13368	0020(209)	Environmental	MP 41.81	MP 42.14	0.33	5/11/2021; 11/30/2021	PE	\$1,282,685	\$300,085	\$982,600	NHPP
WSDOT	SR 20/Deception Pass & Canoe Pass Bridges - Special Bridge Repair	WA-09834	0020(194)	Maintenance & Preservation	MP 41.61	MP 41.61	0.73	9/20/2021	CN	\$0	\$690,000	Over Obligated	NHPP
WSDOT	NWR Breakaway Cable Terminal Replacement 17-19 (Phase 2)	WA-12578	9999(820)	Safety	Varies	Varies	Varies	7/28/2021	CN	\$0	\$26,835	Over Obligated	NHPP (Section 164 Penalties)
WSDOT	NWR Breakaway Cable Terminal Replacement 21-23	WA-13389	9999(868)	Safety	Varies	Varies	Varies	7/8/2021	PE	\$0	\$55,800	Over Obligated	NHPP (Section 164 Penalties)
<b>Total</b>											<b>\$19,831,971</b>		

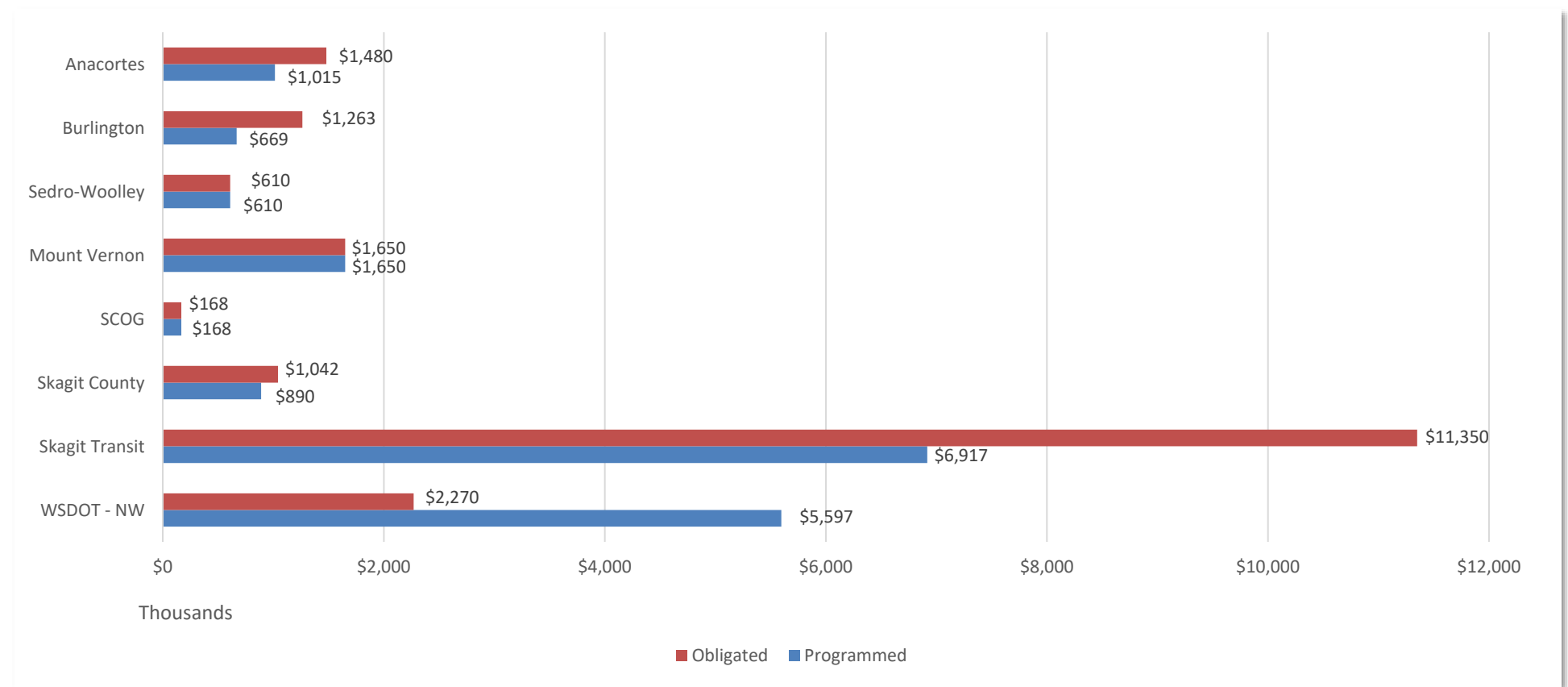
## SUMMARY OF FEDERALLY FUNDED PROJECTS

**Figure 1** compares project obligations with programming for each jurisdiction that obligated relevant federal funding in 2021. For the most part, projects obligated precisely the amount programmed or have funding available to obligate in future years.

The 2021 Annual Listing of Federal Obligations does not account for all funds used for transportation purposes in the Skagit region. State, tribal and local agency funds are not included in the annual listing. These funds often provide match to federal funds or are used to fund other transportation priorities of these governments.

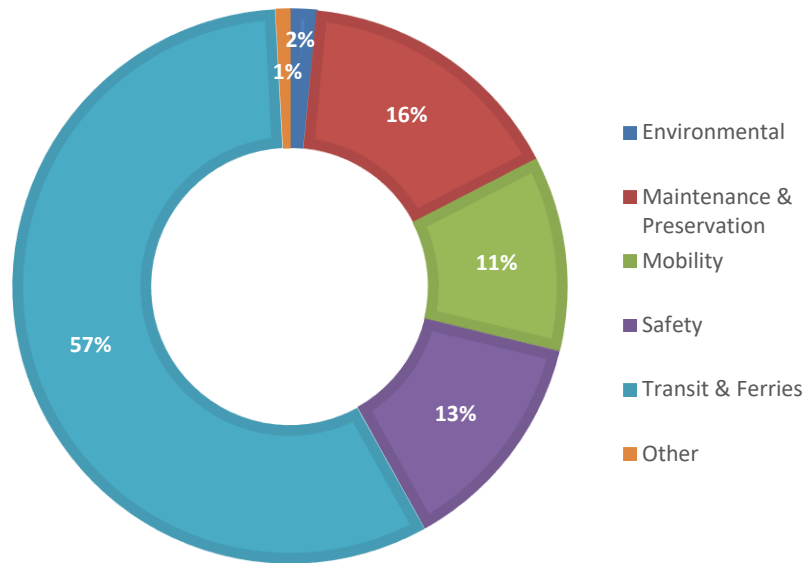
Skagit Transit's Operating Funds project included obligations from COVID-19-related appropriations. Programming requirements were waived by the federal government for these special funds.

**FIGURE 1: 2021 PROJECT PROGRAMMING AND OBLIGATIONS<sup>2</sup>**



<sup>2</sup> Chart only includes 2021 obligations, not deobligations.

**FIGURE 2: 2021 OBLIGATIONS BY PROJECT TYPE**



**Figure 2** shows 2021 obligations by project classifications created by SCOG. Local transportation funds, predominantly used for maintenance and preservation of the transportation system, are mostly not included in the 2021 Annual Listing of Federal Obligations. If these funds were included, the chart would portray a higher proportion of funds allocated to maintenance and preservation purposes.

Fifty-seven percent of relevant federal funds, obligated in the Skagit region in 2021, went toward transit and ferry projects. Nearly \$7 million in this category went to operating expenses for Skagit Transit. Obligations for Skagit Transit operations were much higher than in a typical year due to federal funds flowing to transit agencies around the United States in response to the COVID-19 pandemic.

Safety projects accounted for 13% of obligations in 2021, including projects to enhance county roads, improve intersections with roundabouts and other safety improvements. Maintenance & Preservation projects were 16% of 2021 obligations, including bridge projects on

Interstate 5 and State Route 20, as well as signal enhancements at George Hopper Road.

## PROJECT DELIVERY

Approximately \$15.8 million<sup>3</sup> in relevant federal funds were obligated for transportation projects in the Skagit region in 2021. The amount obligated during a program year can differ from the amount programmed due to project delays and other administrative challenges.

In 2021, SCOG member agencies obligated over \$2.5 million in regionally managed federal Surface Transportation Block Grant program (STBG) funding. The largest STBG obligation was approximately \$1.7 million for a Mount Vernon mobility project on Freeway Drive.

## DEOBLIGATION OF FEDERAL FUNDS

When projects are completed, they typically go through a closure procedure. Deobligation of federal funding occurs if all the federal funds obligated for the project were not necessary to complete the project. Deobligation may also occur if the project is unlikely to progress toward completion or if funding has been obtained from another source and a project sponsor chooses to defederalize a project. Error! Reference source not found. lists the deobligations for projects using federal funds that occurred in the Skagit region during 2021.

When federal funds are deobligated, they are returned to the awarding agency to be reallocated for future transportation projects. Federal funds returned from the Federal Highway Administration or Federal Transit Administration are not guaranteed to be reprogrammed for other Skagit region projects.

Regionally managed funds such as regional Surface Transportation Block Grant program funds and Transportation Alternatives funds are returned to SCOG when deobligated. SCOG can then reprogram the funds for other regional transportation priorities that are competitively selected.

<sup>3</sup> This figure includes total 2021 obligations and deobligations.

**TABLE 2: 2021 DEOBLIGATIONS**

Agency	Project	STIP ID	Federal Project #	Type of Work	Begin	End	Length (in miles)	Obligation Date	Phase	2021 Federal Deobligations	Fund Type
Skagit Co.	Josh Wilson Road - Phase 1	WA-06522	7318(001)	Maintenance & Preservation	Avon Allen Rd.	Jensen Rd.	1.13	12/10/2021	CN	-\$600	STP-R
Skagit Co.	Install/Upgrade Guardrail with Reflectors	WA-12547	000S(578)	Maintenance & Preservation	Varies	Varies	1.38	7/26/2021	PE	-\$55,900	HSIP
Skagit Co.	Burlington Northern Overpass	WA-03594	M291(006)	Maintenance & Preservation	MP 1.87	MP 2.10	0.23	4/26/2021	PE	-\$303,772	BRS, HLP, FMSIB
Skagit Co.	Burlington Northern Overpass	WA-03594	M291(006)	Maintenance & Preservation	MP 1.87	MP 2.10	0.23	4/26/2021	CN	-\$1,218,740	BRS, HLP, FMSIB
WSDOT	Asphalt/Chip Seal Preservation Skagit Council of Governments SCOG	WA-08601	0054(290); 0536(008)	Maintenance & Preservation	Varies	Varies	Varies	10/14/2021; 11/15/2021	PE, CN	-\$206,556	NHPP, STP-S
WSDOT	SR 9/Francis Rd - Intersection Improvements	WA-07776	0009(076)	Safety	MP 53.15	MP 53.35	0.20	5/17/2021	PE, RW, CN	-\$300,129	HSIP, NHPP (Section 164 Penalties), STP-S
WSDOT	SR 536/Front Street to I-5 - ADA Compliance	WA-09158	0536(008)	Safety	MP 4.86	MP 5.35	0.49	11/15/2021	PE, CN	-\$37,536	STP-S
WSDOT	SR 20/Fish Creek & Lorenzan Creek - Fish Passage	WA-09168	0020(203)	Environmental	MP 87.63	MP 88.94	1.31	12/20/2021	RW	-\$9,173	STP-S
WSDOT	SR 20/SR 9 South Leg - Railroad Crossing Improvements	WA-07551	0020(180)	Safety	MP 64.73	MP 64.82	0.09	5/11/2021	CN	-\$15,532	Railway-Highway Crossings
WSDOT	SR 20/Ferry Street - Railroad Crossing Improvements	WA-07499	0020(180)	Safety	MP 64.82	MP 64.91	0.09	5/11/2021	CN	-\$17,739	Railway-Highway Crossings
WSDOT	SR 20/W State St - Railroad Crossing Improvements	WA-07503	0020(180)	Safety	MP 64.48	MP 64.54	0.06	5/11/2021	CN	-\$24,473	Railway-Highway Crossings
WSDOT	SR 20/Canoe Pass Bridge - Bridge Painting	WA-09556	0020(194)	Other	MP 42.04	MP 42.14	0.10	9/20/2021	CN	-\$500,000	NHPP
WSDOT	I-5/Maddox Creek - Fish Passage	WA-07778	0055(247)	Environmental	MP 224.62	MP 225.30	0.68	2/4/2021	PE	-\$1,353,533	STP-S
<b>Total</b>										<b>-\$4,043,683</b>	