

WASHINGTON STATE DEPARTMENT OF TRANSPORTATION
STATE ROUTE 99
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCE
STATE FISCAL YEAR 2022, QUARTER ENDED SEPTEMBER 30, 2021

	NOTES	JUL THROUGH SEP	OCT THROUGH DEC	JAN THROUGH MAR	APR THROUGH JUN	YEAR-TO-DATE
REVENUES						
Toll revenue	1	\$ 4,803,702.00				\$ 4,803,702
Civil penalty	2	42,292				42,292
Transponder sales	3	91,680				91,680
Toll vendor contractual damages	4	201,190				201,190
Toll bill reprocessing fee	5	(11,761)				(11,761)
Interest income		10,858				10,858
Miscellaneous	6	(437)				(437)
TOTAL REVENUES		<u>5,137,524</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>5,137,524</u>
EXPENDITURES						
Goods and Services						
Toll operations vendor contracts	7	1,623,946				1,623,946
Credit card and bank fees		83,223				83,223
Transponder cost of goods sold	8	46,209				46,209
Pay-by-mail		7,757				7,757
Other	9	63,058				63,058
Total Goods and Services		<u>1,824,193</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,824,193</u>
Personal service contracts	10	263,254				263,254
Salaries and benefits		265,253				265,253
Civil penalty adjudication cost	11	31,536				31,536
Maintenance and preservation	12	651,826				651,826
Capital Outlays		-				-
Other Agency/Program Expenditures	13	21,400.00				21,400
TOTAL EXPENDITURES		<u>3,057,462</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>3,057,462</u>
EXCESS / (DEFICIENCY) OF REVENUES OVER EXPENDITURES		2,080,062	-	-	-	2,080,062
OTHER FINANCING SOURCES (USES)						
Operating transfers in		6,000,000				6,000,000
Operating transfers out		-				-
TOTAL OTHER FINANCING USES		<u>6,000,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,000,000</u>
NET CHANGE IN FUND BALANCE		8,080,062	-	-	-	8,080,062
FUND BALANCE - BEGINNING		9,726,992	17,807,054	17,807,054	17,807,054	9,726,992
FUND BALANCE - ENDING		<u>\$ 17,807,054</u>	<u>\$ 17,807,054</u>	<u>\$ 17,807,054</u>	<u>\$ 17,807,054</u>	<u>\$ 17,807,054</u>

The notes to the financial statements are an integral part of this statement

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Tolling Operations System and Customer Service - In Fiscal Year (FY) 2018, WSDOT procured and contracted with a new toll system vendor and a customer service vendor. The costs for design and implementation of the new toll system and customer service center are allocated to all toll facilities. Design and implementation costs are reported in several categories on the financial statements. State Route Number 99 portion of these expenditures in fiscal year 2021 are:

	Q1	Q2	Q3	<u>Q4</u>	<u>Total</u>
BOS CSC Procurement Allocation	\$ 547,765				\$ 547,765

Detailed Notes

1. **Toll Revenue** – Revenue earned, net of any adjustments, from tolls on vehicles traveling in the eastbound direction over the TNB, which are collected at toll booths, as electronic toll accounts, or pay-by-mail.
2. **Civil Penalty Revenue** – Revenue earned when any of the following conditions exist: (a) Payment of Notice of Civil Penalty is received, or (b) Notice of Civil Penalty payment due date passes without receiving a request for an administrative hearing, or (c) an Administrative Law Judge upholds the Notice of Civil Penalty.
3. **Transponder Sales** – Sales of transponder devices to potential and existing *Good to Go!* electronic toll account customers.
4. **Toll Vendor Contractual Damages** – Charges to ETCC for not meeting Key Performance Indicators (KPIs) and accrued liquidated damages levied against ETAN for delays related to the development and deployment of a new Back Office System (BOS).
5. **Toll Bill Reprocessing Fee Revenue** – The allocated portion of fees associated with the issuance of second toll billings.
6. **Miscellaneous Revenue** – This can include revenue for administrative and statement fees, NSF check fees, cash over, payments related to sale of surplus property, and prior period recoveries.
7. **Toll Operations Vendor Contracts** – Payment for monthly toll operations costs.
8. **Transponder Cost of Goods Sold** – Cost of purchasing, packaging, and shipping transponders. Transponder Cost of Goods Sold is directly related to Transponder Sales Revenue.
9. **Other Goods and Services** – Expenditures for supplies, communications, rents, repairs, outside vendor services, printing, and registered owner look up costs.
10. **Personal Service Contracts** – Expenditures incurred for traffic and revenue forecast consulting and CSC operations consulting.
11. **Civil Penalty Adjudication Costs** – Allocated share of the adjudication system vendor contract with ETCC for the adjudication system module, as well as its share of supplies, communications, credit card fees, Office of Administrative Hearings costs, and salaries and benefits of WSDOT staff.
12. **Maintenance and Preservation** – Cost of maintenance and preservation activities on SR99.

	Q1	Q2	Q3	Q4	Total
Maintenance	\$ 651,826				\$ 651,826
Preservation	-				-
Total	\$ 651,826	\$ -	\$ -	\$ -	\$ 651,826

13. **Other Agency/Program Expenditures** – The 2020 transportation appropriations bill (ESHB 2322) included new toll-funded appropriations for expenses for the Washington State Patrol (WSP), Transportation Commission (WSTC), and six operating programs within the Washington State Department of Transportation (WSDOT).

	Q1	Q2	Q3	Q4	Total
Transportation Commission (L)	\$ -				\$ -
Traffic Operations (Q)	-				-
Transportation Management (S)	21,400				21,400
Transportation Planning (T)	-				-
Washington State Patrol	-				-
Total	\$ 21,400	\$ -	\$ -	\$ -	\$ 21,400