

# Structural Design and Evaluation of Pile Shafts (State of Caltrans' Practice)

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Division of Engineering Services  
California Department of Transportation

# Outline

- Applications and Types of Pile Shafts
- Overview of CT Seismic Design Procedure

<http://www.dot.ca.gov/hq/esc/techpubs/manual/othermanual/other-engineering-manual/seismic-design-criteria/sdc.html>

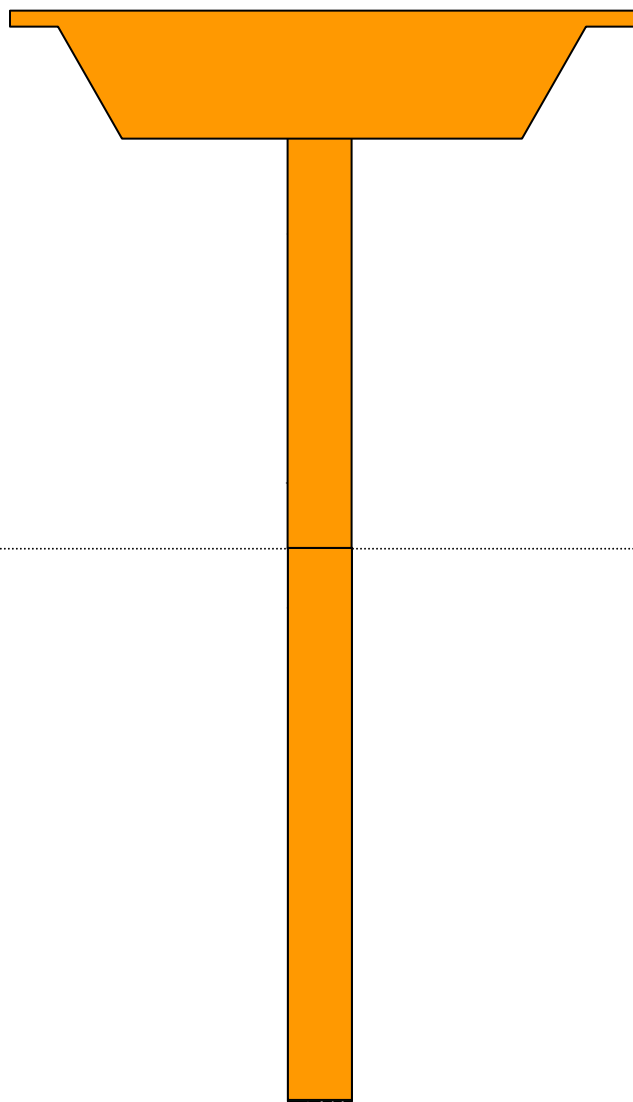
- Seismic Detailing of Pile Shafts
- General Design Requirements (LRFD)
- Inspection and Structural Evaluation of Defective Shafts

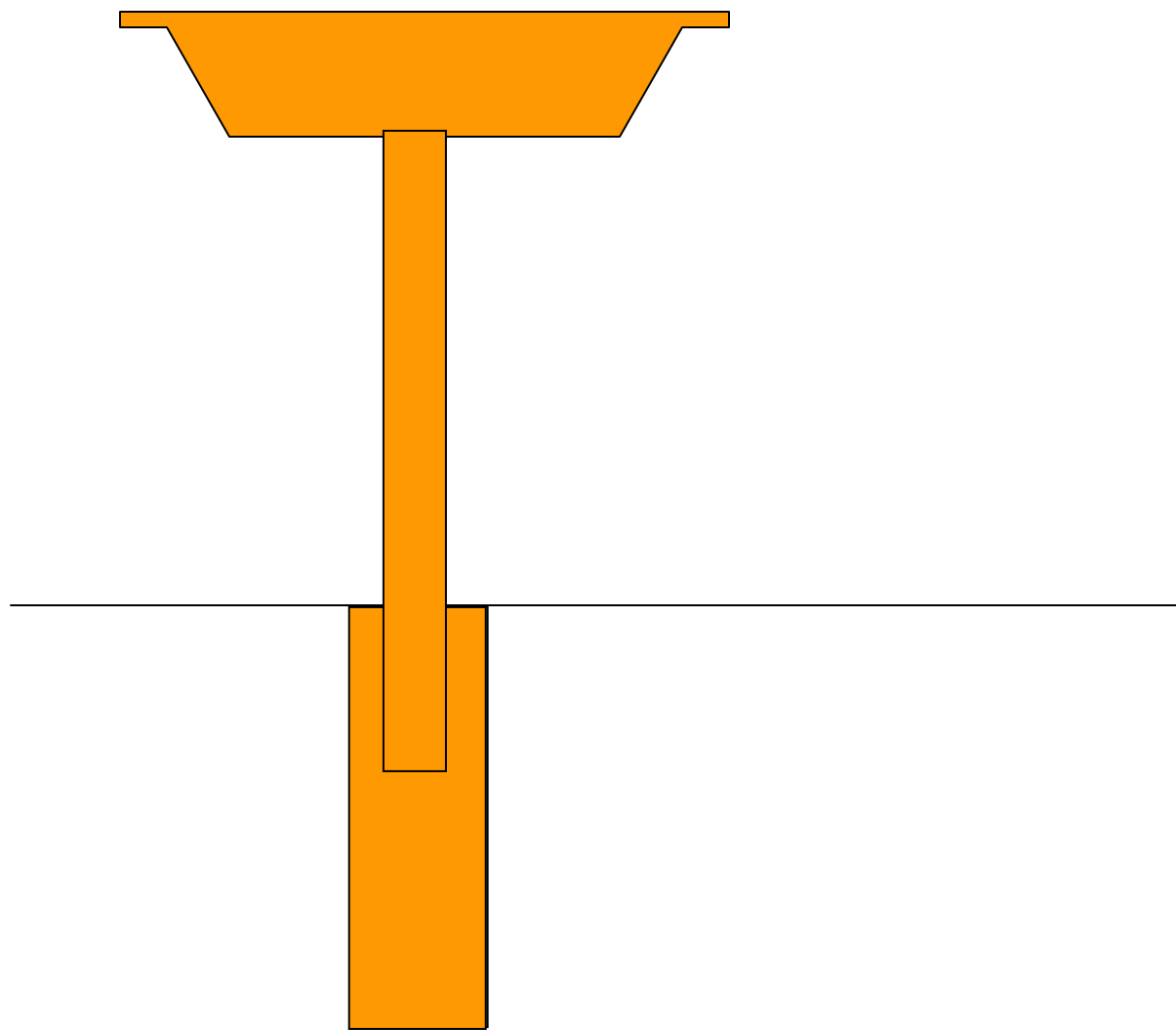
# Applications and Types

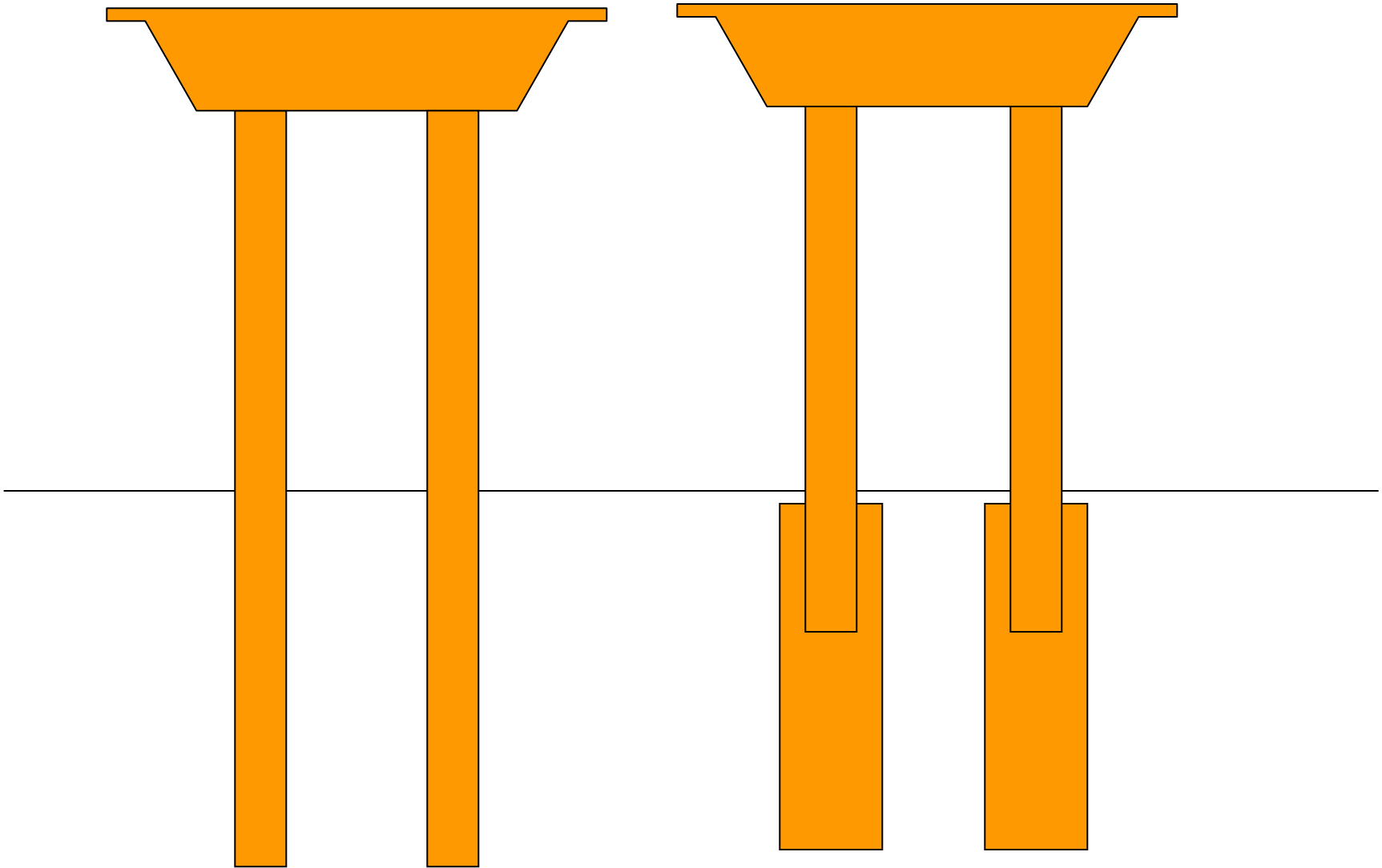
- Used for high seismic loads also where small footprint is desirable
- Most effective where hard layer (rock) is reachable
- Used with/without casing
- Types I & II per SDC classification

Type-I : More ductile performance, advantageous for Short columns

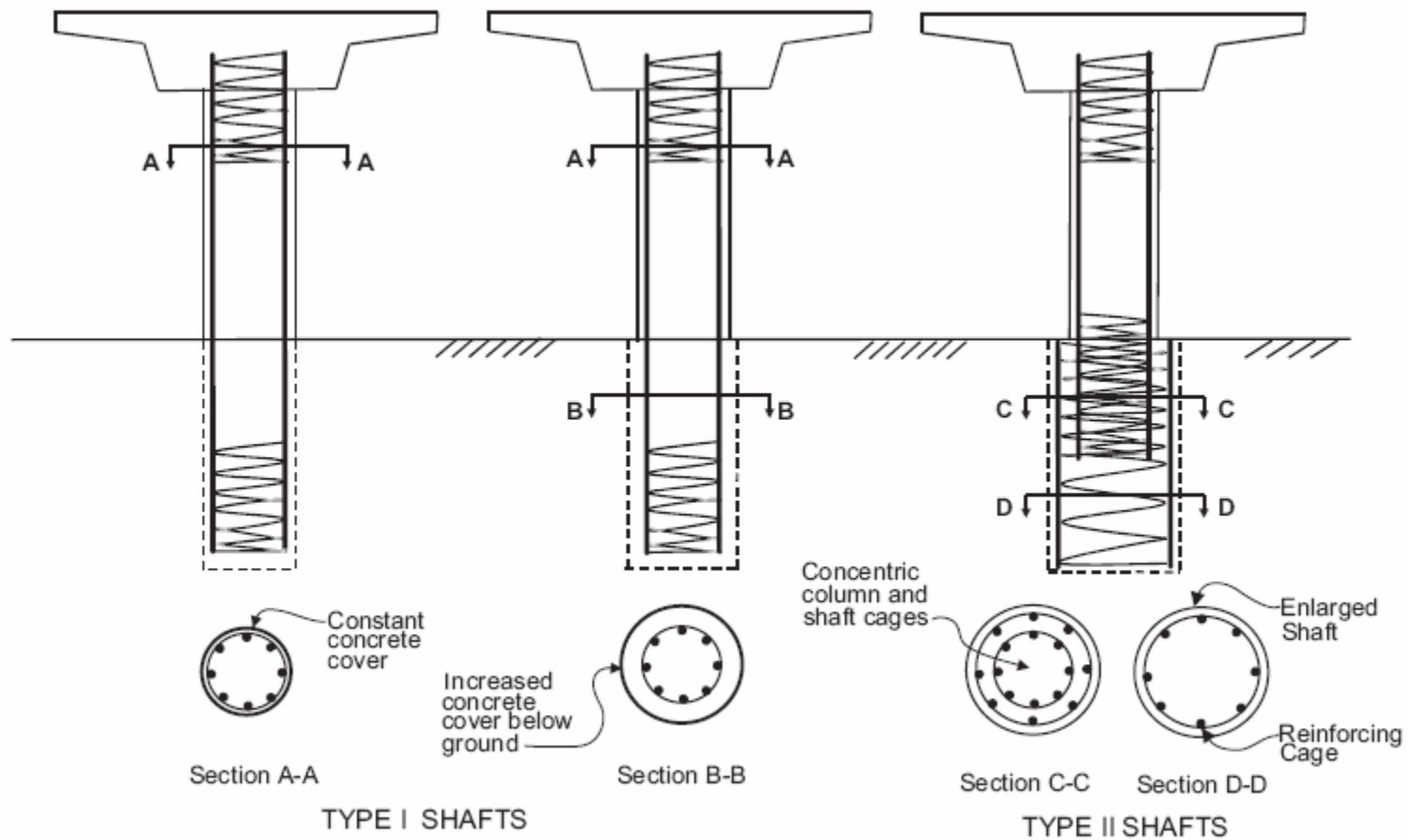
Type-II : Easier post-event repair, shaft enlargement of at least 24” to contain inelastic action to the column.....(SDC 7.7.3.5)







# Types of Large Diameter Drilled Shafts (Caltrans SDC)



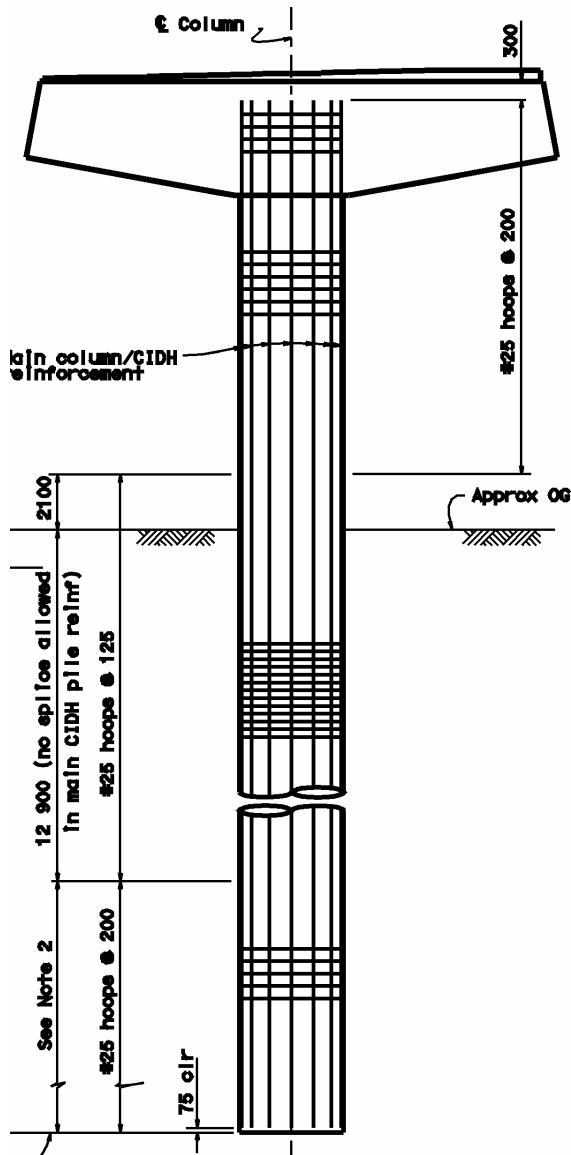
## Test of 6' diameter Type-I Shaft at UCLA



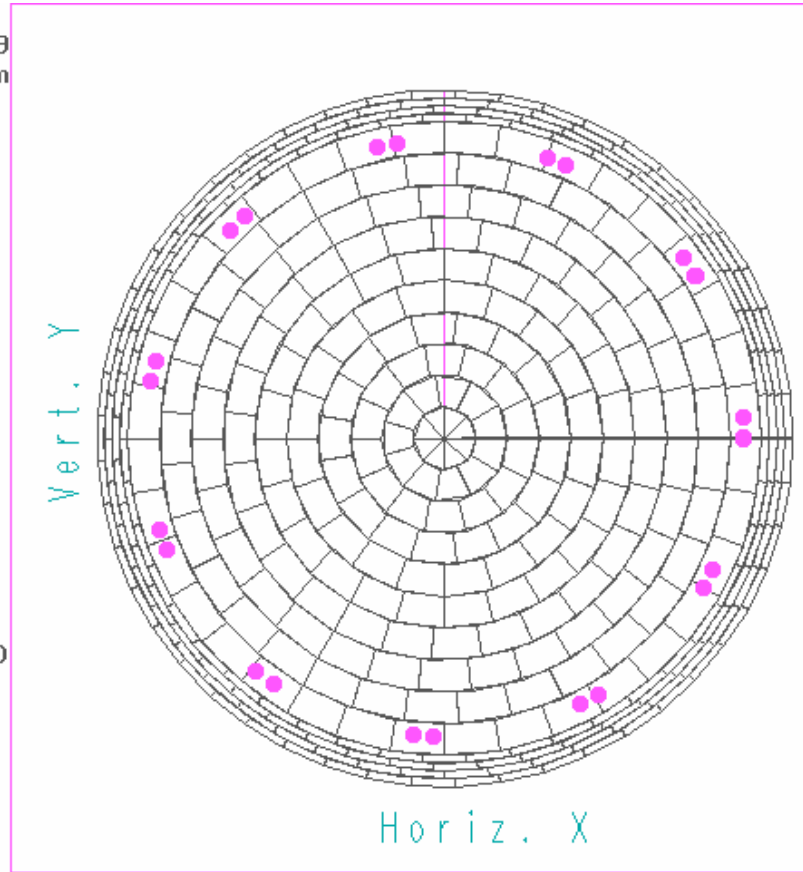


## Test of 6' diameter Type-I Shaft at UCLA





xSECTION  
 VER. 2.40, MAR-14-99  
 (C)'99 Mark S. Mahan  
 Licensed to:  
 CALTRANS  
 S29-W37\_CONNECTOR  
 23-223F  
 09/14/2004, 17:01  
 File: bent6.xse  
 TYPE\_I\_COLUMN\_SHAFT  
 X-Sec. Fibers  
 Steel & Concrete  
 Max. Horiz. (in)  
 33.50  
 Min. Horiz. (in)  
 -33.43  
 Max. Vert. (in)  
 33.48  
 Min. Vert. (in)  
 -33.48  
 Area (Gross)(ft<sup>2</sup>)  
 24.48  
 Inertia(Gross)(ft<sup>4</sup>)  
 47.54  
 Axial Load (kips)  
 1200  
 Percent Main steel  
 0.97

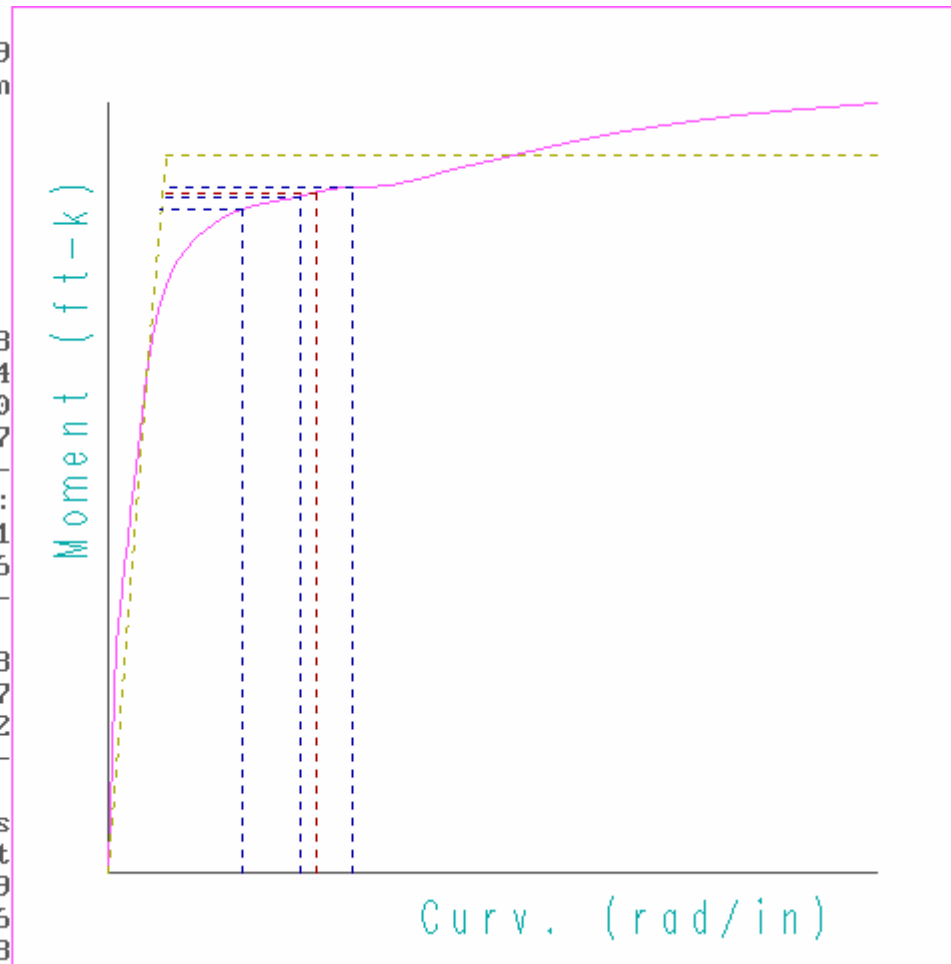


xSECTION  
 VER. 2.40, MAR-14-99  
 (C)'99 Mark S. Mahan  
 Licensed to:  
 CALTRANS  
 S29-W37\_CONNECTOR  
 23-223F  
 09/14/2004, 16:53  
 File: bent6.xse  
 TYPE\_I\_COLUMN\_SHAFT  
 Area\_G(ft<sup>2</sup>)= 24.48  
 I\_G(ft<sup>4</sup>)= 47.54  
 Axial (kips)= 1200.0  
 Percent steel= 0.97

Moment-Curv. Curve :  
 Mmax ft-k= 8548.1  
 phi\_max = 0.001046

Rebar Yield info:  
 My (k-ft)= 5705.3  
 phi\_y = 0.000057  
 Ec (ksi)= 4032

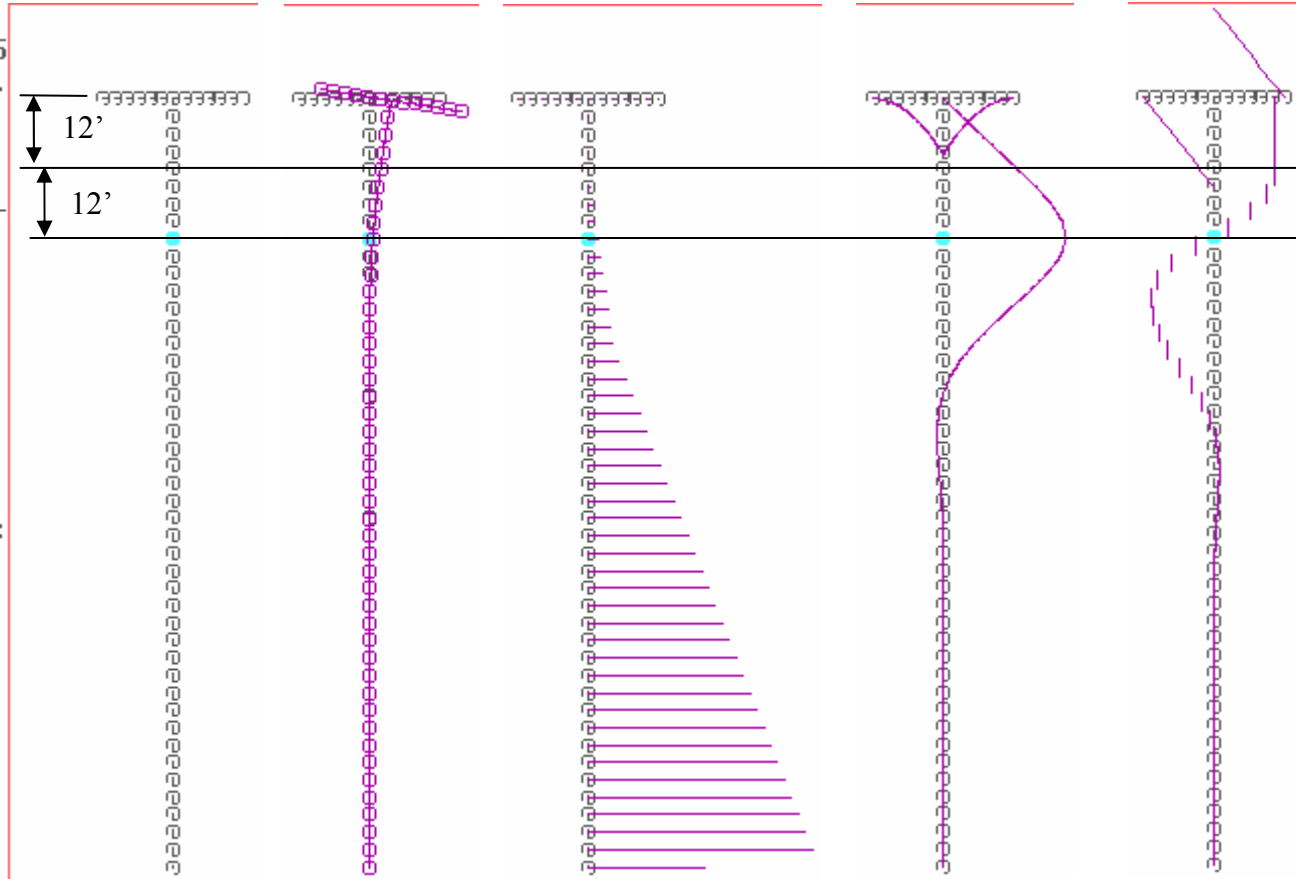
Idealized values:  
 (CT) dark blue lines  
 others see print-out  
 Mp (k-ft)= 7947.9  
 phi\_p = 0.000966  
 Icr(ft<sup>4</sup>)= 14.43



Mp (k-ft)= 7947.9  
 phi\_p = 0.000966  
 Icr(ft<sup>4</sup>)= 14.43

wFRAME  
VER. 1.12, JAN-14-95  
(C) 1994 Mark Seyed.  
This Release for  
Demo ONLY (beta  
testing incomplete)

09/15/2004, 10:11  
File: bent6.wfi  
TYPE\_I\_COLUMN\_SHAFT  
Frame Geometry:  
Dimensions (ft):  
Min. of X = 0.00  
Max. of X = 24.00  
Min. of Y = -132.00  
Max. of Y = 0.00  
Approx Plot Dim(ft):  
Min. of X = 0.00  
Max. of X = 24.00  
Min. of Y = -132.00  
Max. of Y = 0.00





# Salinas River Bridge

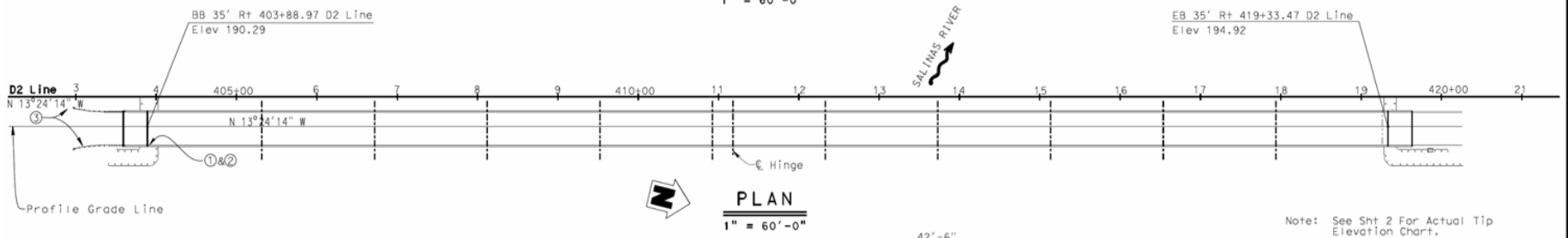
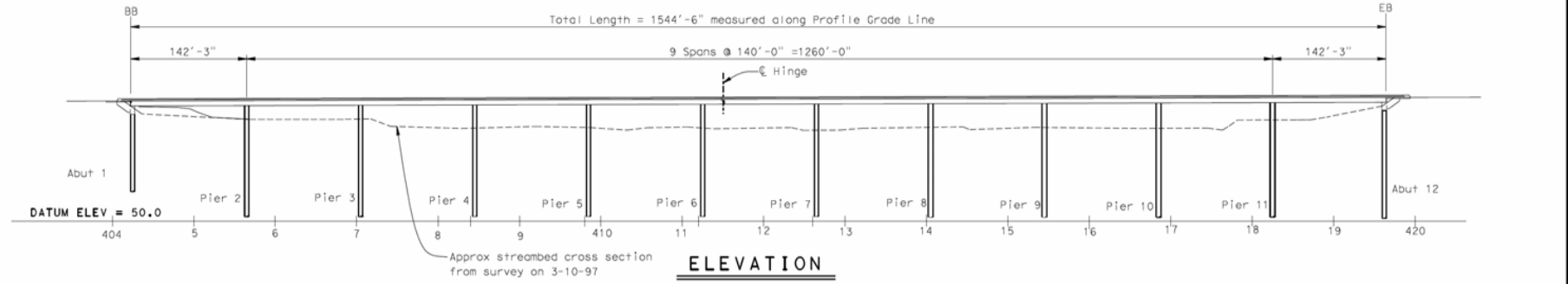


DIS.	COUNTY	ROUTE	POST MILES TOTAL PROJECT	SHEET NO.	TOTAL SHEETS
05	Mon	101	59.8/61.7	85	136

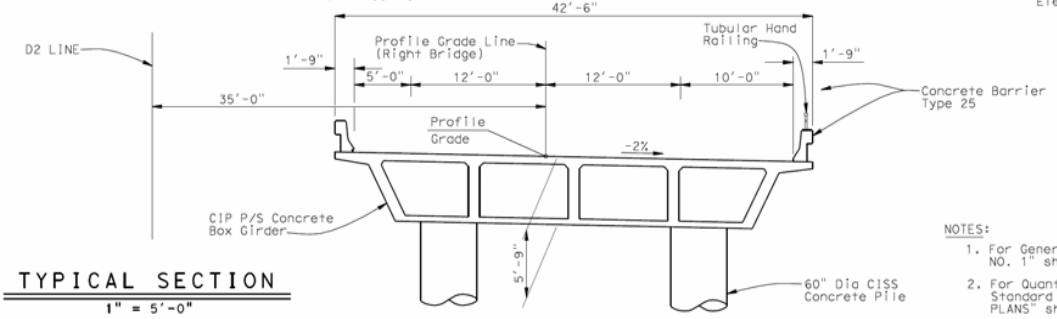
REGISTERED ENGINEER - CIVIL

PLANS APPROVAL DATE

The State of California or its officers or agents shall not be responsible for the accuracy or completeness of electronic copies of this plan sheet.



- NOTES:
- ① Paint "Br No. 44-0002R"
  - ② Paint "Salinas River Bridge"
  - ③ MBGR, see "Road Plans"



Note: See Sht 2 For Actual Tip Elevation Chart.

- NOTES:
1. For General Notes, see "DECK CONTOURS NO. 1" sheet.
  2. For Quantities, Index To Plans & Standard Plans List, see "INDEX TO PLANS" sheet.

DESIGN	BY Robert Zezoff	CHECKED SCOTT Mawhinney	LOAD FACTOR DESIGN	LIVE LOADING: HS20-44 AND ALTERNATIVE AND PERMIT DESIGN LOAD	STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION	DIVISION OF STRUCTURES STRUCTURE DESIGN 8	BRIDGE NO.	44-0002R	SALINAS RIVER BRIDGE (RIGHT REPLACE) EXAMPLE—GENERAL PLAN
DETAILS	BY Roberto Lim	CHECKED Scott Mawhinney	LAYOUT	BY Scott Mawhinney			CHECKED Robert Zezoff	POST MILE	
QUANTITIES	BY A.L. Hough	CHECKED SCOTT Mawhinney	SPECIFICATIONS	BY Jill Sewell					

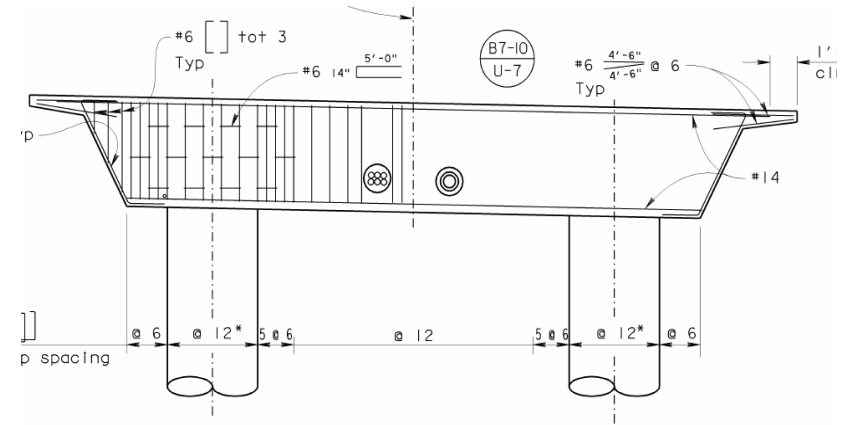
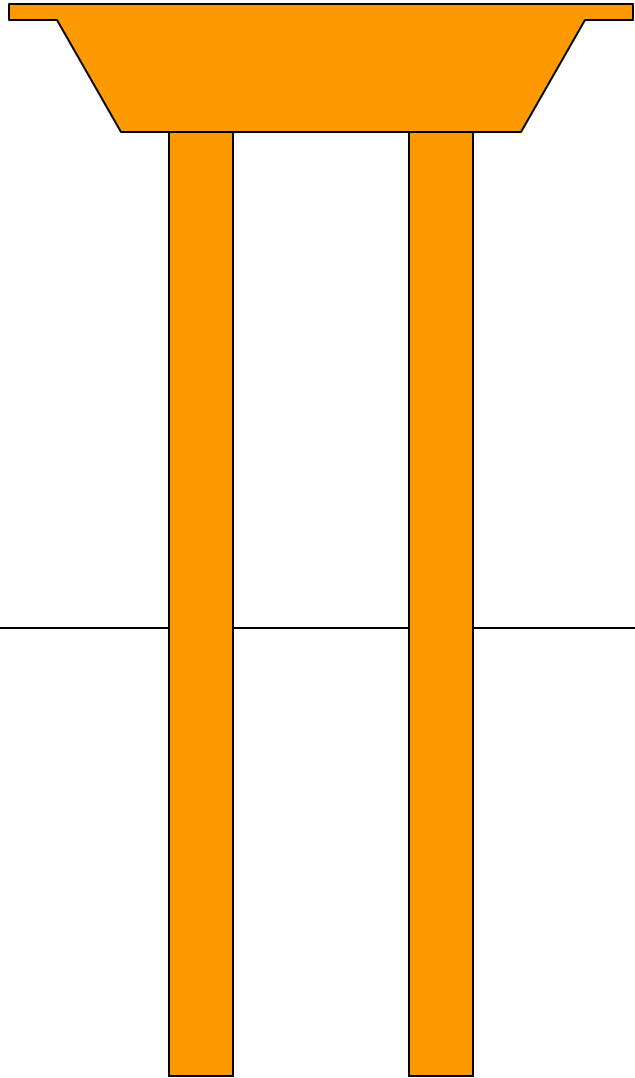
95 050 238 (CA)00 10/95

ORIGINAL SCALE IN INCHES FOR REDUCED PLANS

CJ 05200  
EA 453401

FORWARD PRINT'S BEARING  
EARLIER REVISION DATES

REVISION DATES (PRELIMINARY STATE ONLY)	SHEET	OF
1/24/01	1	44



ELEVATION

\*





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10/31/2000, 15:59  
File: t.wfi  
60"x3/4"pipe  
X-Spr. Stiff (k/ft):  
Maximum =24737.779  
Minimum = 0.000

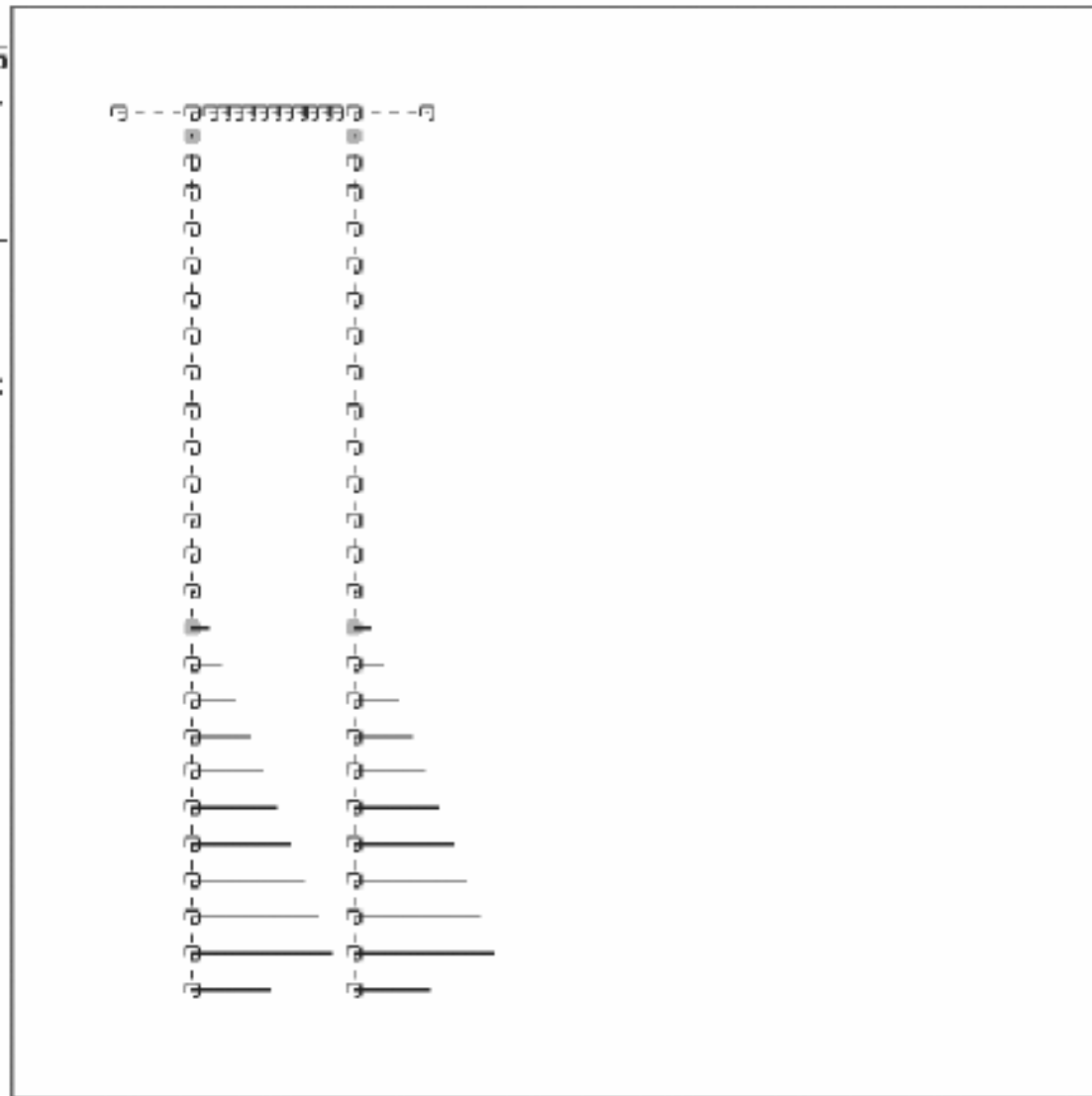


Figure II-C-16- Soil-Structure Interaction (p-y) Springs

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10/31/2000, 15:59  
File: t.wfi  
60"x3/4"pipe  
Frame stage 17  
Def. range(Tot)(in):  
X -0.06, 9.76  
Y -2.74, 0.57

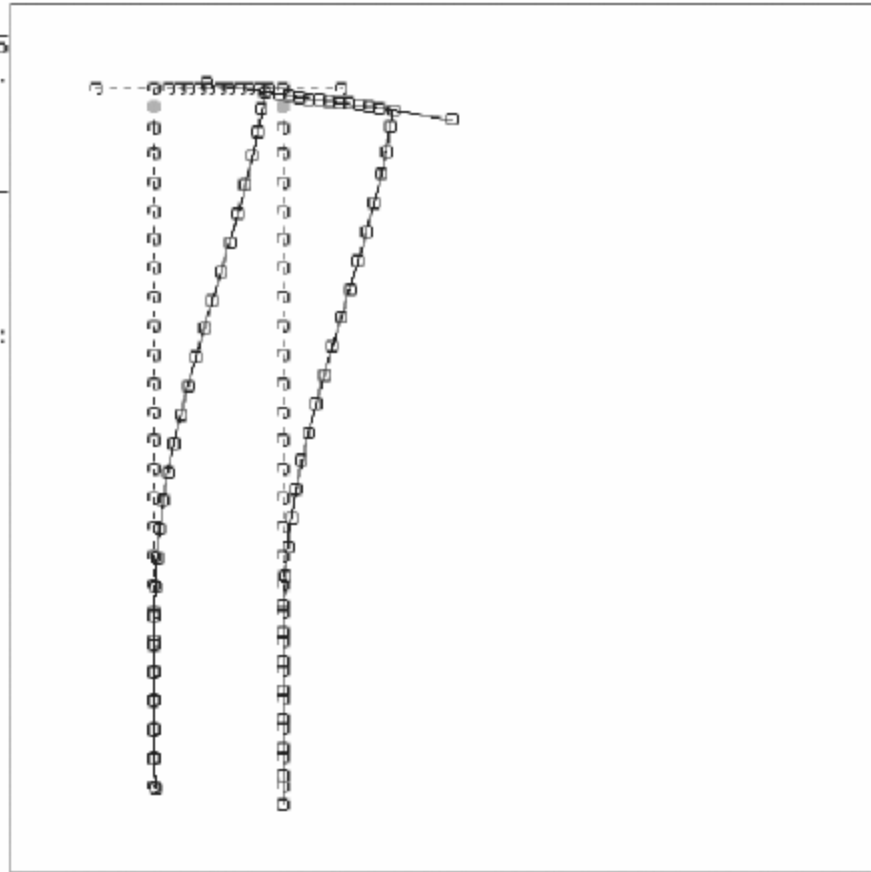


Figure II-C-13- Deflected Shape of Bent at Formation of Top Two Plastic Hinges

uFRAME  
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This Release for  
Demo ONLY (beta  
testing incomplete)

10/31/2000, 15:59  
File: t.wfi  
60"x3/4" pipe  
Frame stage 21  
Def. range(Tot)(in):  
X -0.17, 31.89  
Y -2.92, 0.68

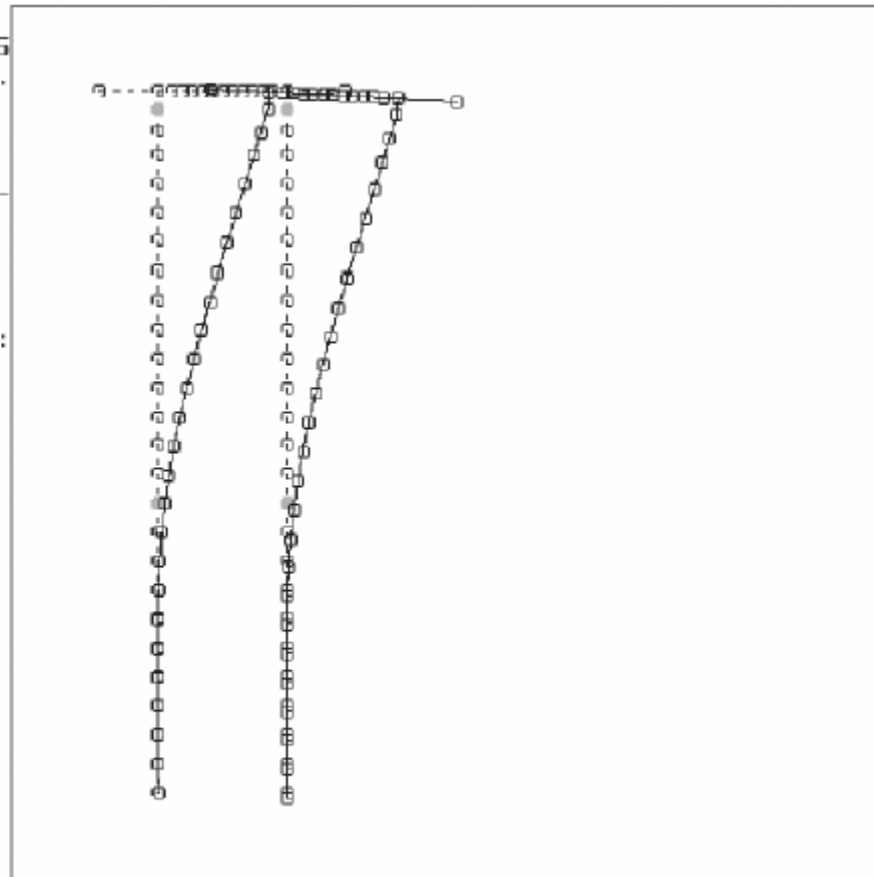


Figure II-C-14- Deflected Shape of Bent at Formation of Bottom Two Plastic Hinges

uFRAME  
 VER.\_1.12,\_JAN-14-95  
 (C) 1994 Mark Seyed.  
 This Release for  
 Demo ONLY (beta  
 testing incomplete)

10/31/2000, 15:59  
 File: t.ufi  
 60"x3/4"pipe  
 Dead Load(k)= 1912.4  
 Frame Lat. Strength:  
 Loc/Stage/Force/Defl

	#	*g	in
P01X02	13	0.05	2.27
P02X02	14	0.05	2.32
P01X03	15	0.07	3.34
P02X03	16	0.08	3.37
C01-02	17	0.20	9.76
C02-02	17	0.20	9.76
P01X01	18	0.23	12.13
P02X01	18	0.23	12.13
P01X02	19	0.28	17.62
P02X02	19	0.28	17.62
P01X03	20	0.36	27.21
P02X03	20	0.36	27.21
P01-04	21	0.41	31.89
P01-05	21	0.41	31.89
P02-04	21	0.41	31.89
P02-05	21	0.41	31.89

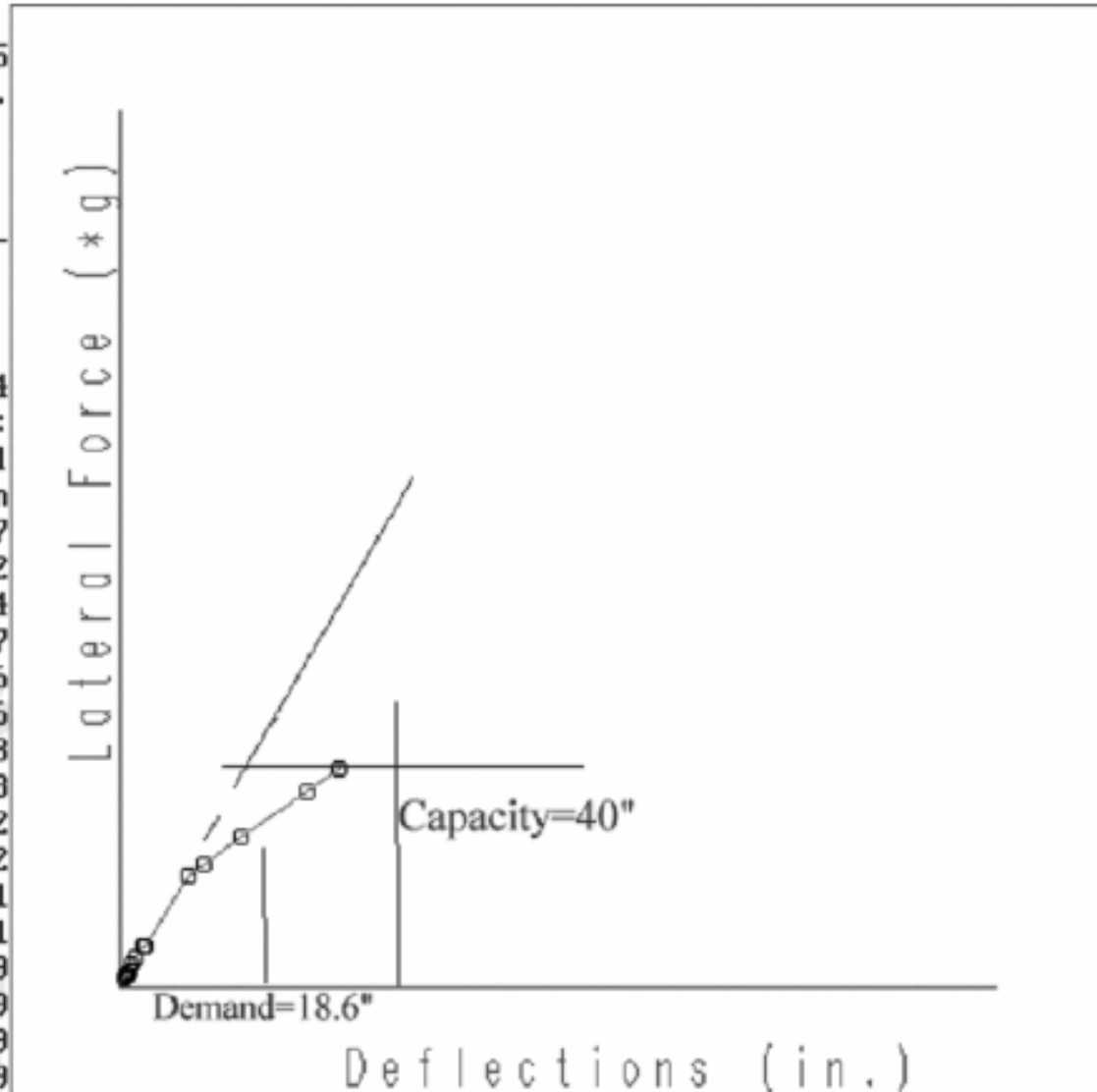
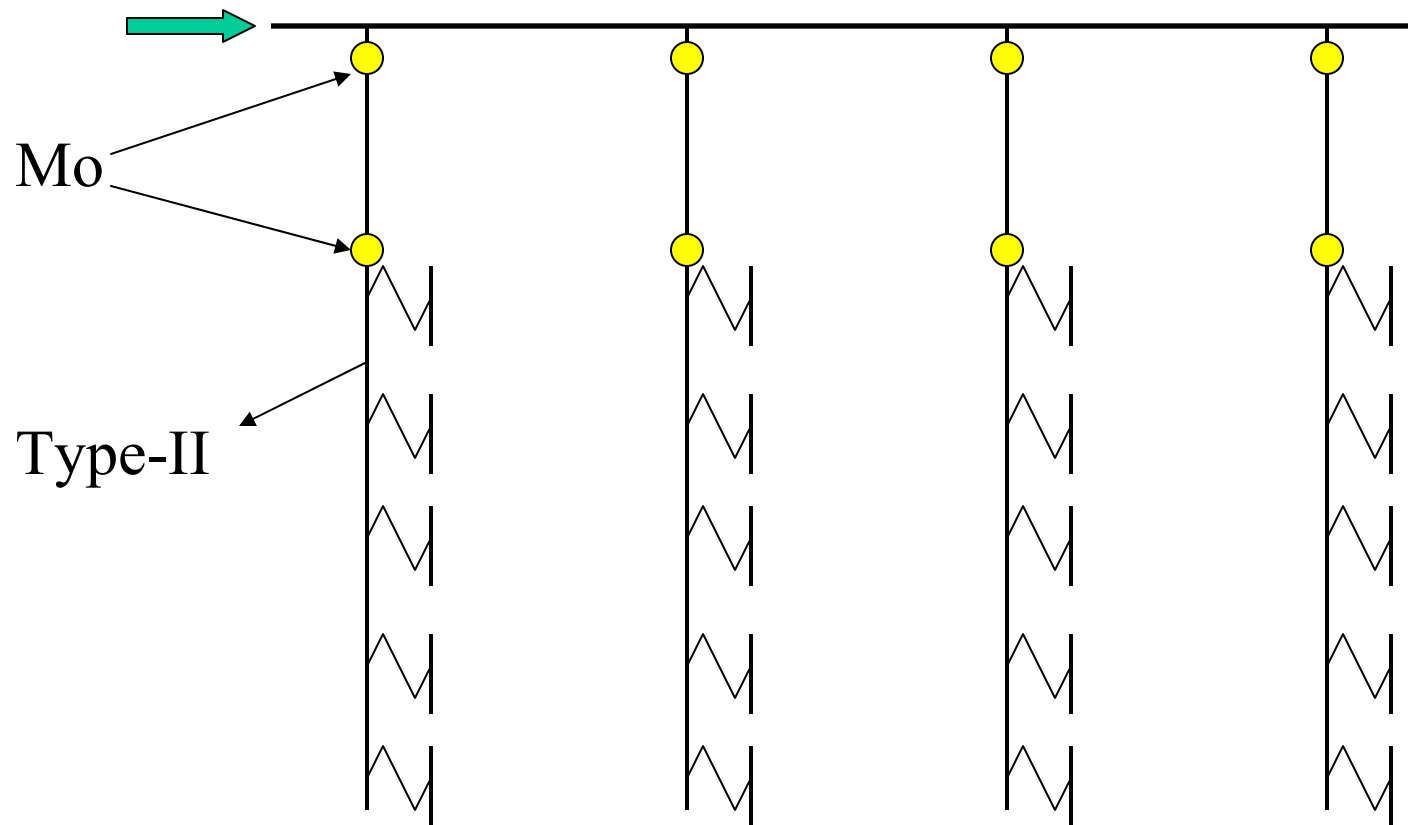


Figure II-C-15- Force-Deflection Curve for the Two-Column Bent

# Seismic Demand Calculation

## (Multi-Column Bent)



Note: For Type-I, the first hinge must form in the column, and the second hinge in the shaft

# Design Procedure - Type-II

- Strength Check

$$1.25 M_{max} \leq M_{ne}$$

$$V_{max} \leq \phi V_n$$

- Maximum Ductility Demand Check

*$\mu_D$  of column is checked against the Target values of SDC  
2.2.4*

- P- $\Delta$  Check

$$P_D \cdot \Delta r \leq 0.2 (M_p^{col})$$

# Outline

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- Overview of CT Seismic Design Procedure

<http://www.dot.ca.gov/hq/esc/techpubs/manual/othermanual/other-engineering-manual/seismic-design-criteria/sdc.html>

- Seismic Detailing of Pile Shafts
- General Design Requirements (LRFD)
- Inspection and Structural Evaluation of Defective Shafts

# Seismic Detailing Requirements

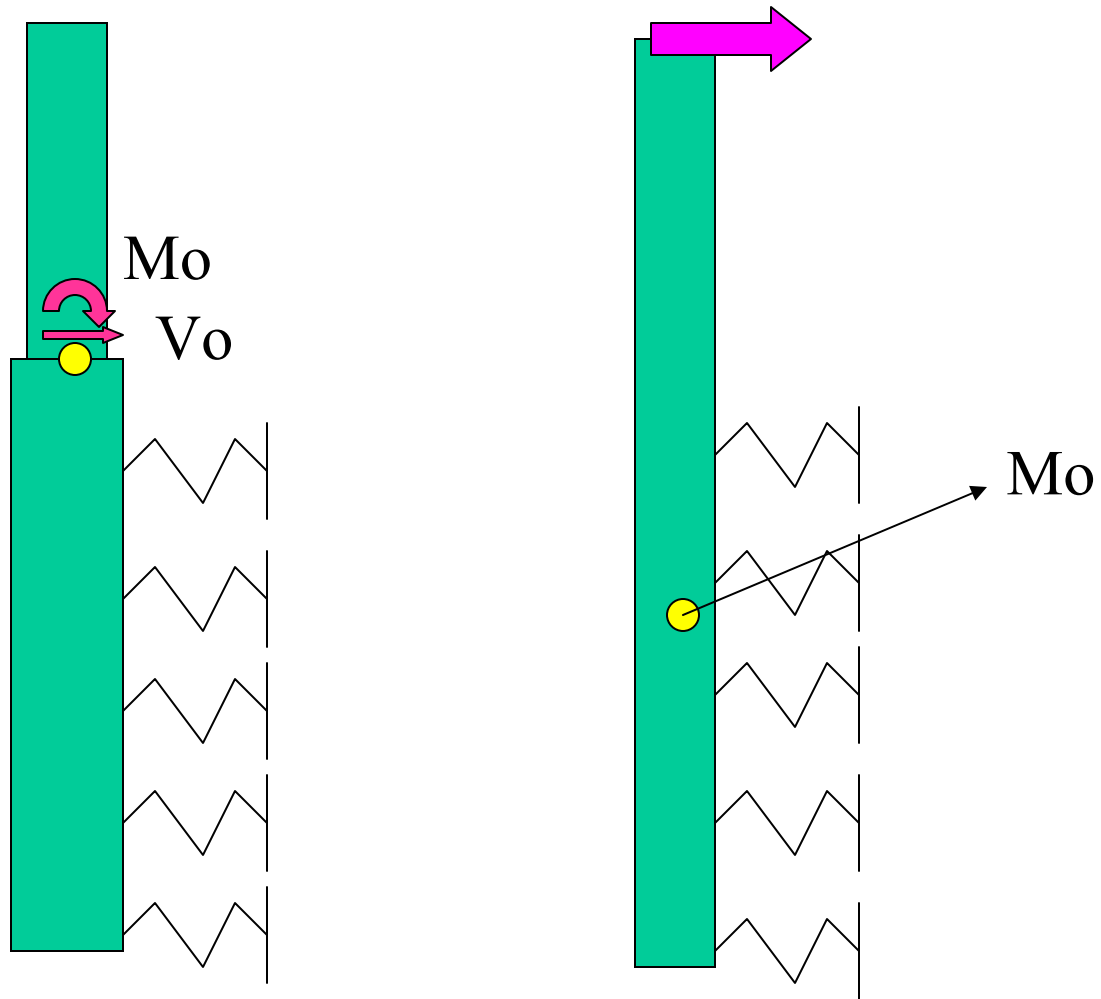
- No Splice Zones (SDC 8.1.1)  
Plastic hinge region and areas of  $M_D > M_y$
- Ultimate Splices (SDC 8.1.2)  
Ductile members outside “No Splice Zone”
- Service Splice (MTD20-9)  
Capacity Protected Members like Type-II Shaft
- For Hoops and Spirals in Ductile Members Use Ultimate Splices, Except:  
No splices in **spirals** used in “No Splice Zones” (end anchorage has been used to improve constructability)



# General Design Requirements (LRFD)

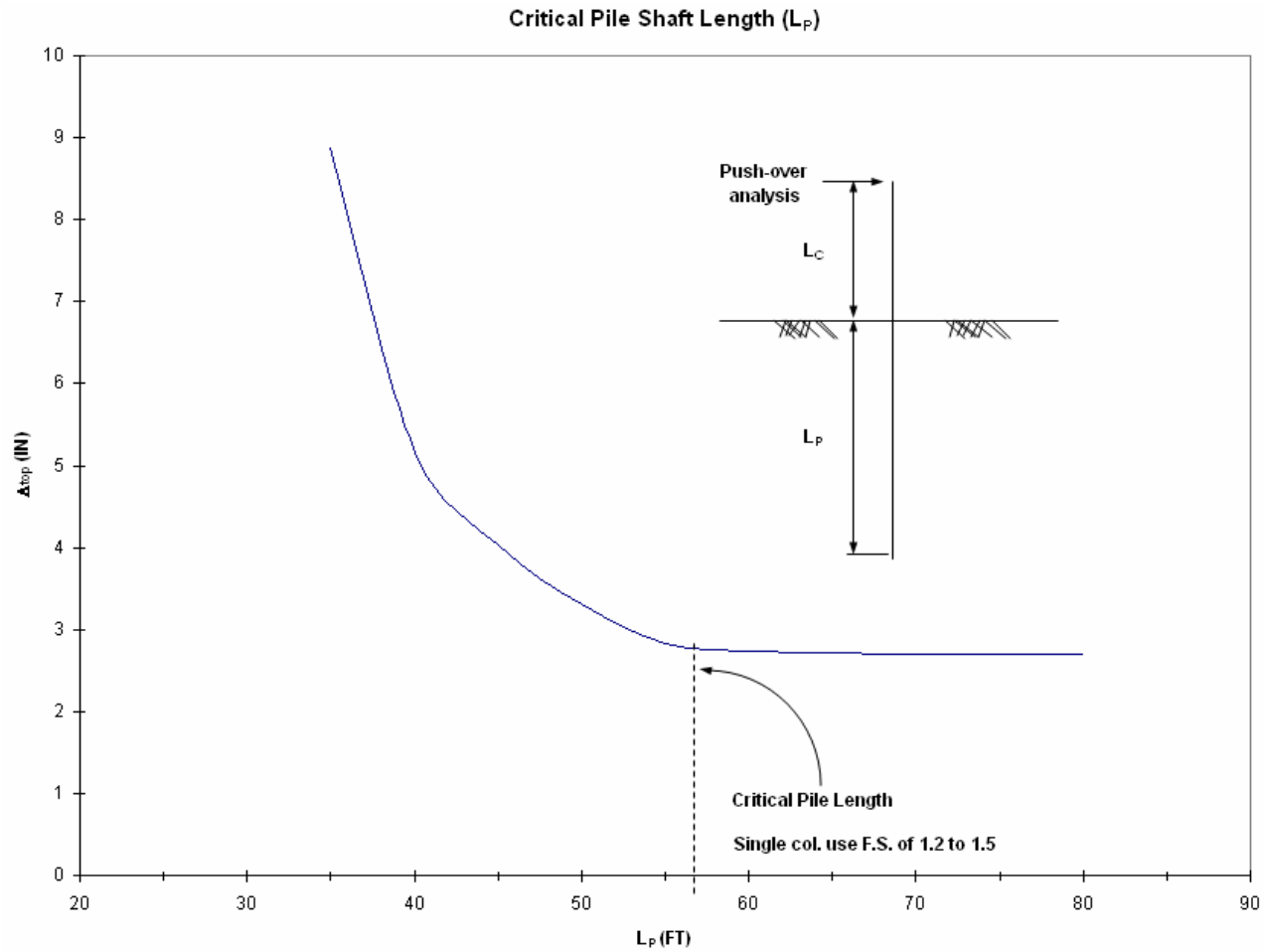
- **Structural Designer** provides Factored Loads for applicable Limit States
- **Geotechnical Designer** will provide tip elevations based on **Compression, Tension, and Settlement**
- **Structural Designer** performs **Stability Analysis** and provides tip elevation for **Lateral Loads**
- Scour, Liquefaction and Lateral Spreading are considered in design (if applicable)

# Demand Calculation (Single Column Bent)



# Lateral Stability

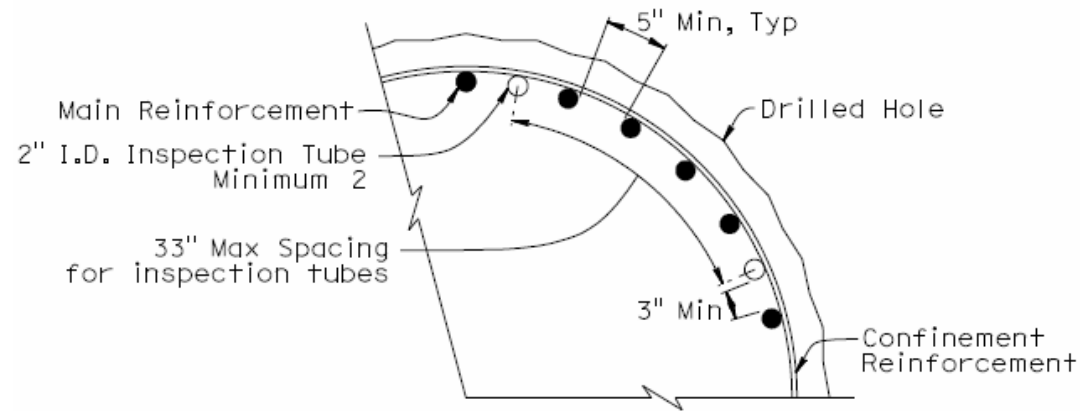
Available Software: LPILE, W-FRAME, or SAP



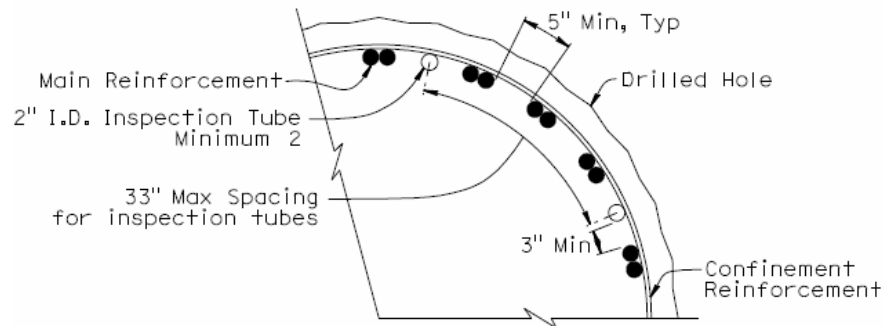
# Inspection and Structural Evaluation of Defective Shafts

- Shafts 24 inches in diameter or larger must be inspected unless the holes are dry or dewatered without use of temporary casing
- Gamma-Gamma Logging is commonly used. Cross-hole Sonic Logging (CSL) may be used as complement.
- If anomaly is detected the pile is rejected and it will be subject to evaluation by **Structural**, Geotechnical and Corrosion units in Caltrans.
- Structural Designer has to accept or reject the pile (fast review)

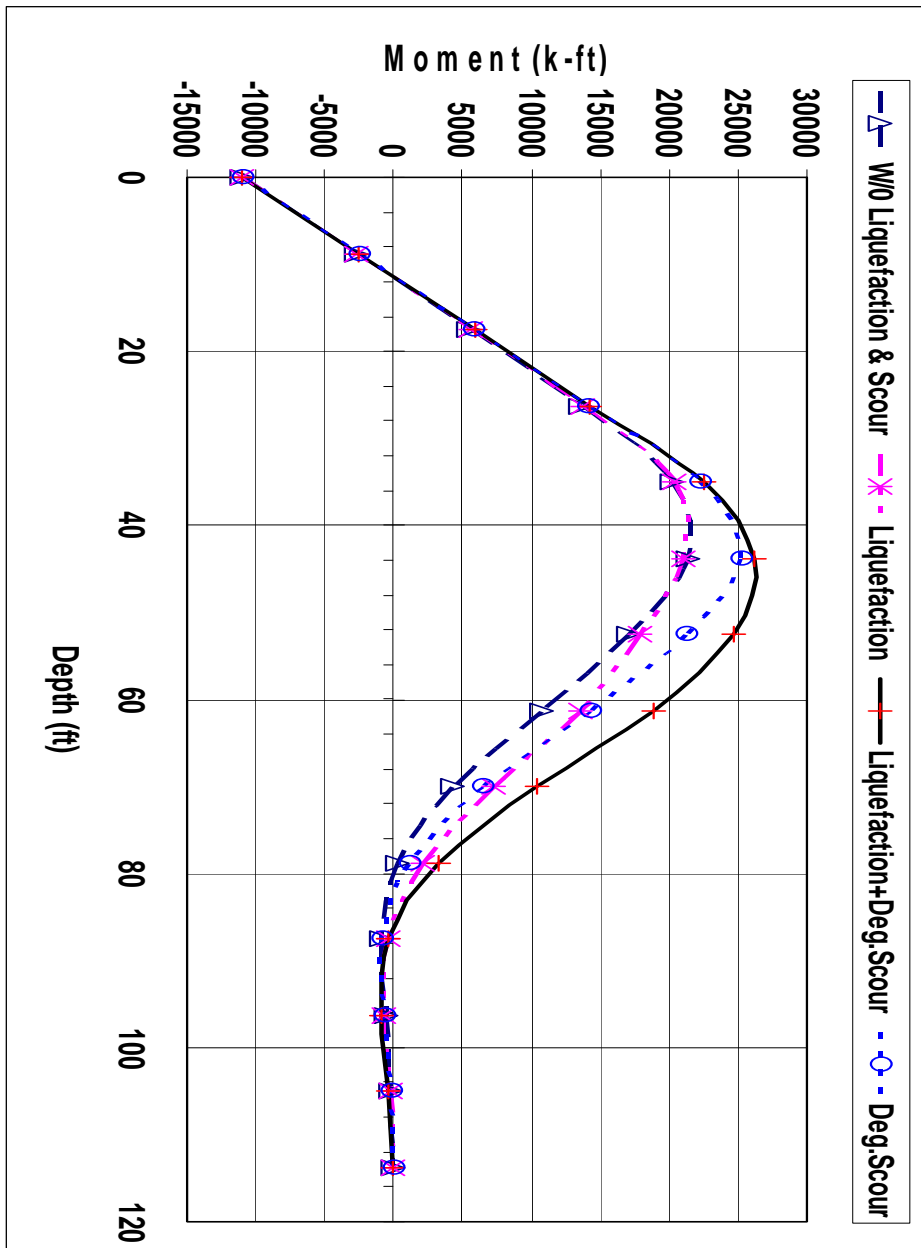
# Inspection Tubes layout



PARTIAL PLAN VIEW



PARTIAL PLAN VIEW  
BUNDLED BARS

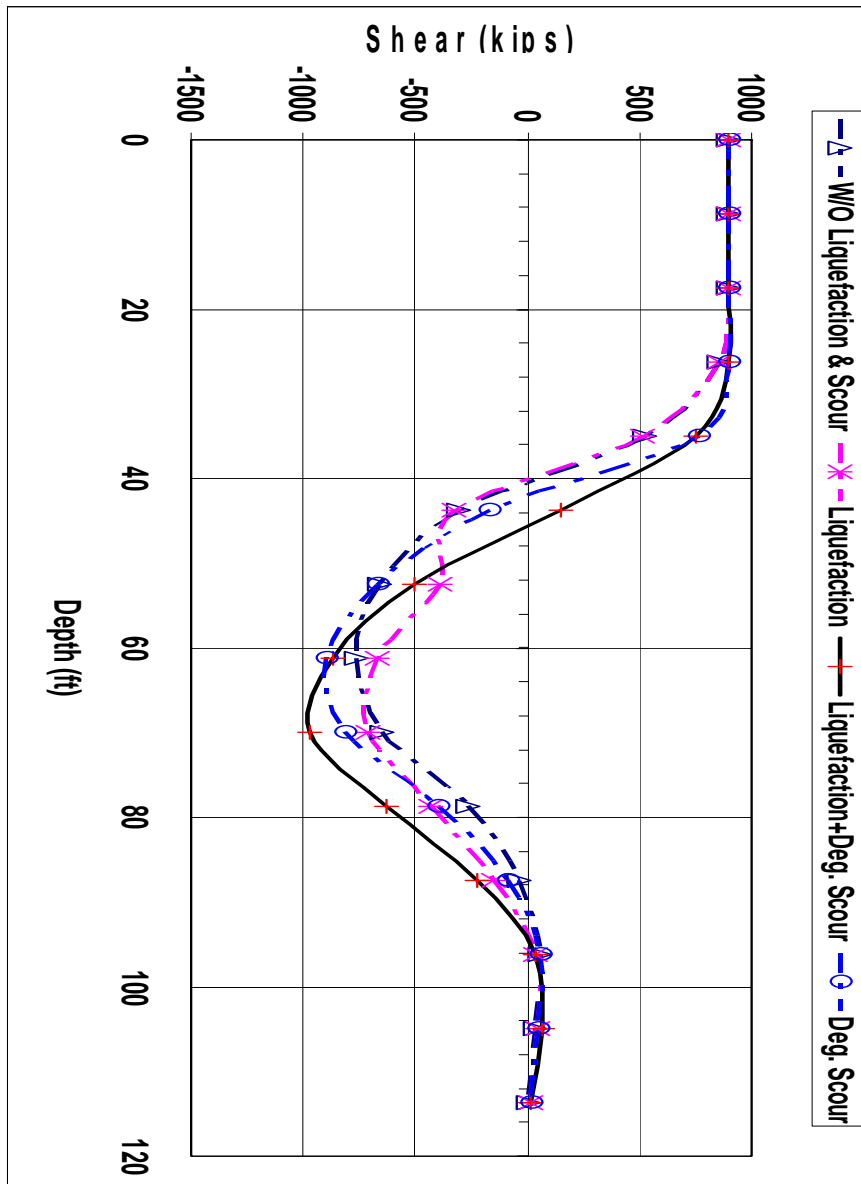


## Moment Diagram (Type-II Example)

Calculate  $M_D$  at location  
of the defect

## Shear Diagram (Type-II Example)

Calculate  $V_D$  at location  
of the defect



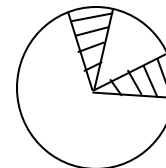
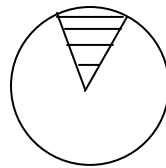
# Evaluation of Type-II Shafts

- The moment and shear checks are summarized as:

$$M_D \leq M_{ne}$$

$$V_D \leq \phi V_n$$

One tube with  
low reading



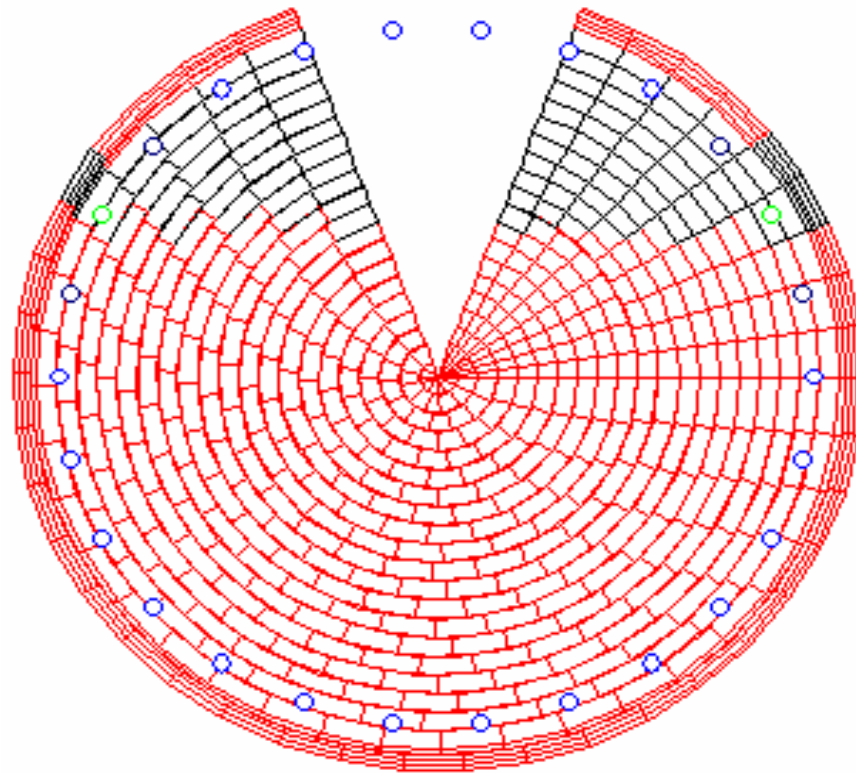
Two tubes with low  
readings

In general, moment should be applied in different directions to capture the minimum flexural capacity.



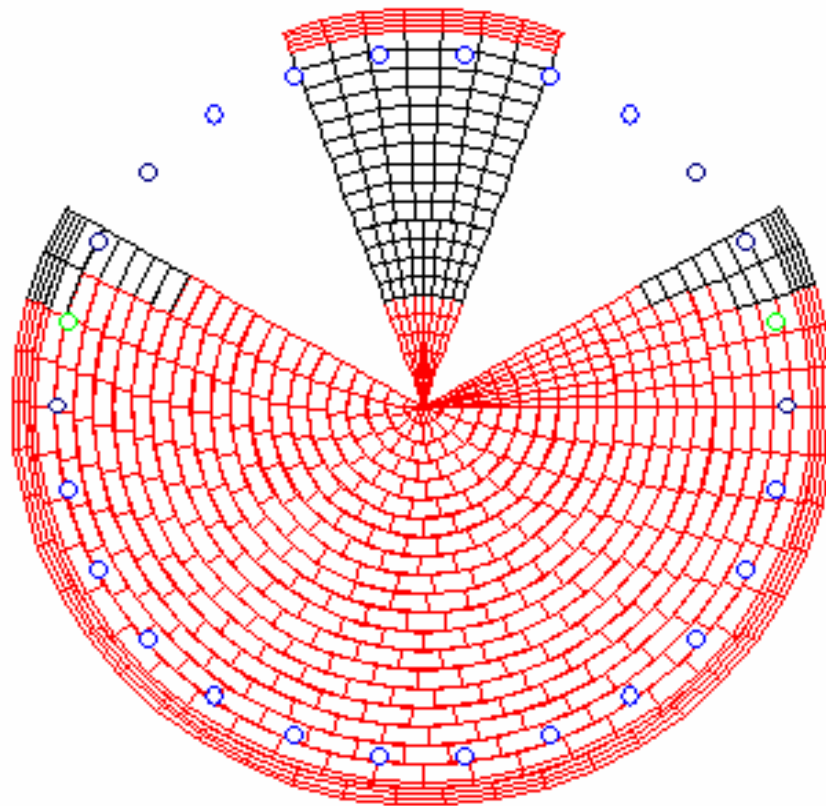
# Evaluation of CIDH Shafts

One tubes with low reading



# Evaluation of CIDH Shafts

Two tubes with low readings



## Evaluation of Type-I Shafts

- Seismic moment demand ( $M_D$ ) at the location of the anomaly should be less than:

*1.25Mp* for multicolumn bents

*1.15Mp* for single column bents

Where,  $M_p$  is the plastic moment of the reduced shaft cross section at the location of the anomaly.

- Seismic shear demand at the location of the anomaly shall be less than the factored nominal shear resistance of the pile ( $\phi V_n$ )

## Compression Resistance Check (Types I & II)

- Factored nominal compression resistance of the pile at the anomaly location is calculated based on the reduced cross sectional area of the pile per LRFD.

$$P_u \leq \Phi P_n$$

Where  $\Phi=0.85$  and:

$$P_n = 0.85[0.85f'_c (A_g - A_{st}) + f_y A_{st}]$$

# Pile Mitigation

- Shaft must be repaired, supplemented, or replaced if it is inadequate.
- Shaft can be stayed without repair if it is structurally adequate. However the contractor will pay administrative deduction (disincentive).

# Thank You

