

SR 525/Mukilteo Multimodal Project: Phase 2
UNDERWATER NOISE MONITORING REPORT



Prepared by:
Jim Laughlin
Manager Acoustics, Air Quality and Energy
Washington State Department of Transportation
15700 Dayton Avenue North, P.O. Box 330310
Seattle, WA 98133-9710

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ACRONYMS AND ABBREVIATIONS

dB	decibel
Hz	hertz
μPa	micro-Pascal
NIST	National Institute of Standards and Technology
Pa	Pascal
RMS	root mean squared
s.d.	standard deviation
SEL	Sound Exposure Level
SL	sound level, regardless of descriptor
SPL	sound pressure level
USFWS	U.S. Fish and Wildlife Service
WSDOT	Washington State Department of Transportation

EXECUTIVE SUMMARY

This technical report describes the data collected during impact pile driving and monitoring of underwater sound levels from driving 30-inch steel piles for the Washington State Department of Transportation (WSDOT) at the Mukilteo Multimodal Project, Phase 2 between October and December of 2017. Data collected included both in-water and upland pile driving. Data was first collected for one, 30-inch pile during the test pile phase of the project in October of 2017. Then two production piles were monitored in December of 2017 (Table 1). A fourth upland pile was monitored during impact pile driving (Table 2). Unconfined bubble curtains were deployed for all piles impact driven to attenuate potential underwater noise effects. Measurements were collected between 12 and 14 meters from the in water piles and at 22 meters from the upland pile.

None of the attenuated piles monitored exceeded the 206 dB_{peak} threshold for fish at the measured distances. The peak attenuated sound levels measured ranged between 185 dB_{peak} and 196 dB_{peak} while monitoring the impact pile driving operation as shown in Table 1. The daily Cumulative Sound Exposure Level (cSEL) for all four piles monitored exceeded the threshold of 187 dB_{cSEL} at 10 meters. They also exceeded the cSEL for all marine mammals except for the Non-harbor seal pinnipeds. All four piles had broadband exceedences for the 150 dB_{RMS} for Murrelet and 160 dB_{RMS} for Marine Mammal behavioral disturbances.

Table 1: Summary of 30-inch Pile Attenuated Impact Driving Underwater Sound Levels.

Pile #	Date	Hydro-Phone Range (m)	Lower Frequency Range (Hz)	Absolute Highest Peak (dB)	RMS _{90%} (dB)	Single Strike SEL _{90%} (dB)	Cumulative SEL (dB)
1	10/25/17	12	Broadband	190	180	170	193
			7	196	179	170	192
			50	194	179	169	192
			60	195	178	168	191
			150	192	172	162	186
			275	191	170	160	184
2	12/19/17	12	Broadband	195	185	170	193
			7	194	180	170	193
			50	194	179	169	192
			60	193	179	169	191
			150	192	174	165	187
			275	191	173	160	185
3	12/19/17	14	Broadband	190	179	167	189
			7	190	177	167	189
			50	191	176	167	189
			60	190	176	166	188
			150	186	169	156	181

Pile #	Date	Hydro-Phone Range (m)	Lower Frequency Range (Hz)	Absolute Highest Peak (dB)	RMS _{90%} (dB)	Single Strike SEL _{90%} (dB)	Cumulative SEL (dB)
			275	185	167	157	179

Table 2: Summary of 30-inch Pile Attenuated Upland Impact Driving Underwater Sound Levels.

Pile #	Date	Hydro-Phone Range (m)	Lower Frequency Range (Hz)	Absolute Highest Peak (dB)	RMS _{90%} (dB)	Single Strike SEL _{90%} (dB)	Cumulative SEL (dB)
4	10/25/17	22	Broadband	192	180	167	189
			7	193	176	166	189
			50	192	175	165	189
			60	192	175	165	188
			150	192	168	158	183
			275	192	166	156	180

1 INTRODUCTION

The Washington State Ferries (WSF) proposes to construct the new Mukilteo Multimodal ferry terminal in Phase II and completely remove the existing berthing structures at the existing Mukilteo Ferry Terminal. Construction activities will consist of earthwork, retaining wall construction, soil contamination remediation, surveying, installing signals at two intersections, roadway construction, utility work, the construction of berthing structures, an overhead walkway and a terminal building. The work includes permanent landscaping and architectural elements, constructing all four of the planned toll booths, constructing seven of the planned holding lanes, constructing a transit station, the installation of the supervisor's office, and a fishing pier. See vicinity map (Figure 1).

This report summarizes the impact pile driving results measured at the Mukilteo Multimodal Phase 2 project in an effort to collect site-specific data on underwater noise levels during the months of October and December 2017. Three 30-inch diameter steel piles were monitored on two separate days as they were driven with an impact hammer. A fourth 30-inch steel pile was monitored during impact driving at an upland location. This report applies high-pass frequency filters to the underwater acoustic measurements to determine the sound levels for the marine mammal functional hearing groups.

Underwater sound levels quoted in this report are given in decibels relative to the standard underwater acoustic reference pressure of 1 micropascal.

The intrusive sounds that frequently occurred for a period associated with the use of impact the hammer may produce injury; harassment-level or disturbance-level take of ESA listed marine mammals. This occurs when the sound exceeds the thresholds shown in Table 3.

These are the thresholds that NMFS has determined would result in Level A Harassment (injury) and Level B Harassment (disturbance) to marine mammals, Fish and Marbled Murrelet.

Figure 1: Vicinity map of the Mukilteo Multimodal Ferry Terminal

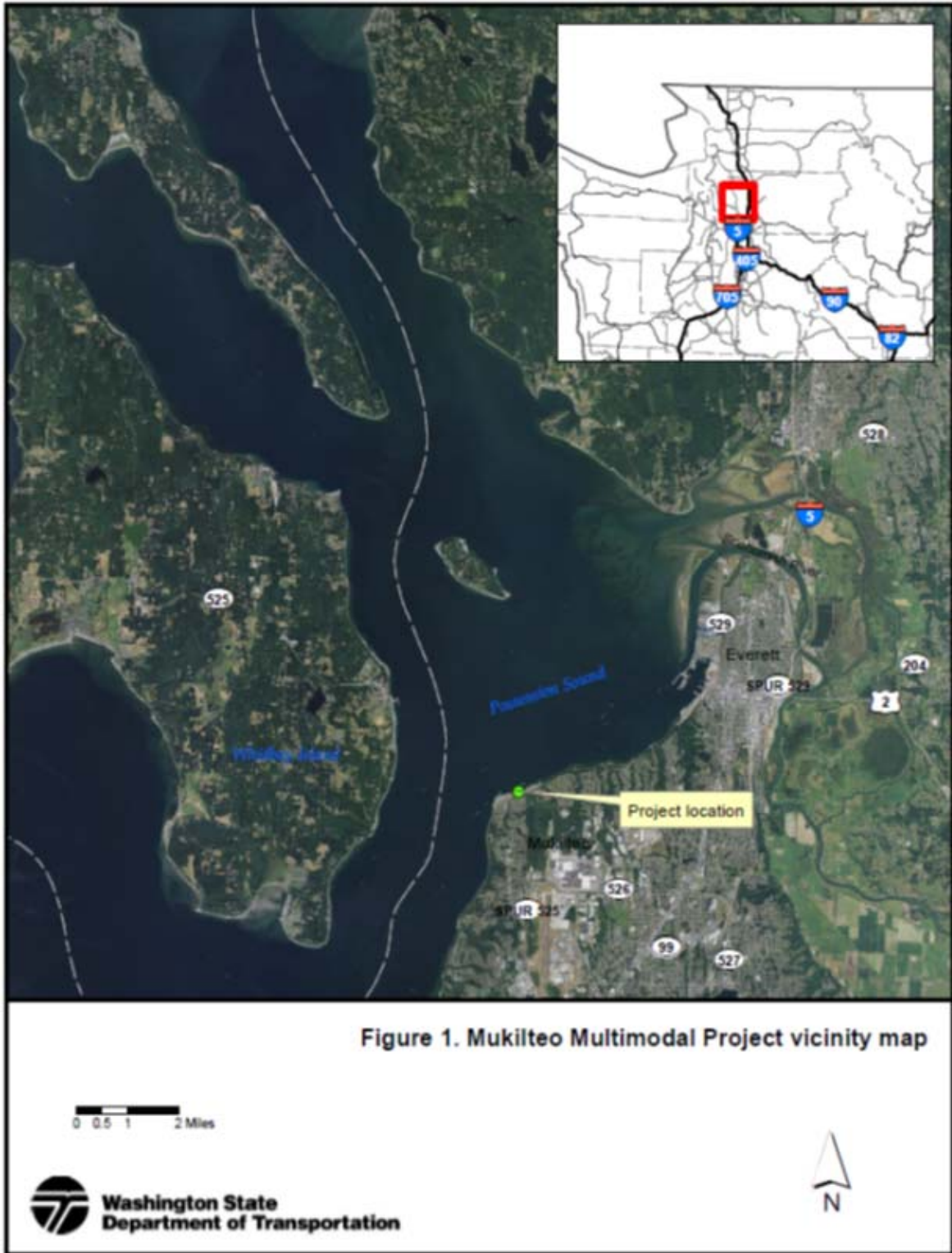


Figure 1. Mukilteo Multimodal Project vicinity map

0 0.5 1 2 Miles

 Washington State
Department of Transportation



Table 3: Marine Mammal, Fish and Marble Murrelet thresholds for Marine Construction Activity

Functional Hearing Group	Airborne Noise Thresholds	Underwater Noise Thresholds		
	In air Sound Pressure Level (RMS)	Impact Pile Driving Disturbance Threshold	Auditory Injury Threshold	
		dB RMS	dB Peak SPL	dB Cumulative SEL
Low-frequency Cetaceans	NA	160 dB RMS	219	183
Mid-frequency Cetaceans	NA	160 dB RMS	230	185
High-frequency Cetaceans	NA	160 dB RMS	202	155
Harbor Seals	90 dB RMS (un-weighted, re: 20 μ Pa ² sec)	160 dB RMS	218	185
Non-harbor seal pinnipeds	100 dB RMS (un-weighted, re: 20 μ Pa ² sec)	160 dB RMS	232	203
Fish \geq 2 grams	NA	Behavior effects threshold 150 dB RMS	206	187
Fish < 2 grams	NA		206	183
Marble Murrelet	NA	Potential Behavior Response Zone 150 dB RMS	208	202

Marine Mammal Injury and Disturbance Thresholds Hearing Frequency Groups:

- Low-frequency Cetaceans = baleen whales (includes humpback ,Northern minke, Sei, gray, blue)
- Mid-frequency Cetaceans = dolphins, toothed whales, beaked whales, bottle nose whales (includes sperm whale, killer whale, bottlenose dolphin, Pacific White-sided dolphin)
- High-frequency Cetaceans = true porpoises, river dolphins, cephalorhynchid. (Dall’s Porpoise)
Phocid Pinnipeds – true seals (harbor seal, Northern elephant sea, ribbon seal).
- Non-Harbor seal Pinnipeds – sea lions, fur seals (California and Stellars sea lion, northern fur seal)

2 PROJECT AREA

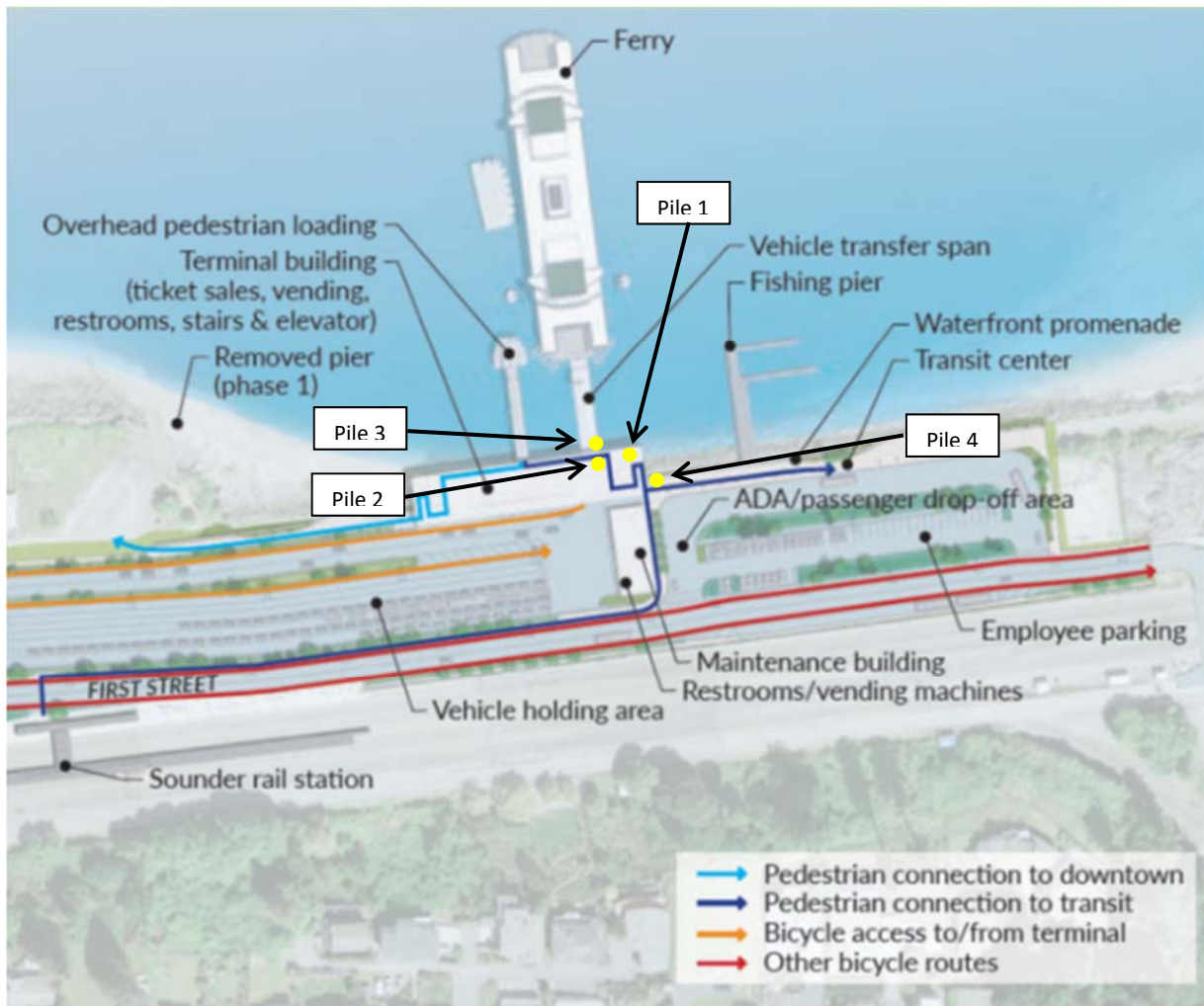
The Mukilteo Multimodal Ferry Terminal project is located in the city of Mukilteo. Washington State Ferries (WSF) proposes to construct the new Mukilteo Multimodal ferry terminal in Phase II and completely remove the existing berthing structures at the existing Mukilteo Ferry Terminal. Construction activities will consist of earthwork, retaining wall construction, soil contamination remediation, surveying, installing signals at two intersections, roadway construction, utility work, the construction of berthing structures, an overhead walkway and a terminal building. The work includes permanent landscaping and architectural elements, constructing all four of the planned toll booths, constructing seven of the planned holding lanes, constructing a transit station, the installation of the supervisor's office, and a fishing pier.

3 PILE INSTALLATION LOCATION

Three hollow steel piles installed during the initial impact pile driving activity at the Mukilteo Multimodal Ferry Terminal (Phase 2) were monitored. Figure 2 indicates the approximate location of the Mukilteo Multimodal Ferry Terminal project and the location of the three, 30-inch steel piles driven in water and one 30-inch steel pile driven in an upland location (Pile 4).

The hydrophone was located at 12 or 14 meters from each in water pile monitored and 22 meters from the upland pile and placed at mid-water depth. The depth of the water where the hydrophone was deployed was approximately 15 feet deep, depending on tidal influence, for the in water piles and 10.5 feet deep for the upland pile measurement.

Figure 2: Approximate Location of the Mukilteo Multimodal Ferry Terminal Monitored Piles



4 UNDERWATER SOUND LEVELS

4.1 CHARACTERISTICS OF UNDERWATER SOUND

Several descriptors are used to describe underwater noise impacts. Two common descriptors are the instantaneous peak sound pressure level (SPL) and the Root Mean Square (RMS) pressure level during the impulse. The peak SPL is the instantaneous maximum or minimum overpressure observed during each pulse and can be presented in Pascal (Pa) or decibels (dB) referenced to a pressure of 1 micropascal (μPa). Since water and air are two distinctly different media, a different sound level reference pressure is used for each. In water, the most commonly used reference pressure is 1 μPa whereas the reference pressure for air is 20 μPa . The majority of literature uses peak sound pressures to evaluate barotrauma injury to fish. Except where otherwise noted, sound levels reported in this report are expressed in dB re: 1 μPa . The equation to calculate the sound pressure level is:

Sound Pressure Level (SPL) = 20 log (p/p_{ref}), where p_{ref} is the reference pressure (i.e., 1 μPa for water)

The RMS level is the square root of the energy divided by the impulse duration. This level, presented in dB re: 1 μPa , is the mean square pressure level of the pulse. It has been used by National Marine Fisheries Service (NMFS) in criteria for judging effects to marine mammals from underwater impulse-type sounds.

The L₅₀ or 50th percentile is a statistical measure of the median value over the measurement period where 50 percent of the measured values are above the L₅₀ and 50 percent are below.

One-third octave band analysis offers a more convenient way to look at the composition of the sound and is an improvement over previous techniques. One-third octave bands are frequency bands whose upper limit in hertz is $2^{1/3}$ (1.26) times the lower limit. The width of a given band is 23% of its center frequency. For example, the 1/3-octave band centered at 100 Hz extends from 89 to 112 Hz, whereas the band centered at 1000 Hz extends from 890 to 1120 Hz. The 1/3-octave band level is calculated by integrating the spectral densities between the band frequency limits. Conversion to decibels is

$$\text{dB} = 10 * \text{LOG} (\text{sum of squared pressures in the band}) \quad (\text{eq. 1})$$

Sound levels are often presented for 1/3-octave bands because the effective filter bandwidth of mammalian hearing systems is roughly proportional to frequency and often about 1/3-octave. In other words, a mammal's perception of a sound at a given frequency will be strongly affected by other sounds within a 1/3-octave band around that frequency. The overall level (acoustically summing the pressure level at all frequencies) of a broadband (20 Hz to 20 kHz) sound exceeds the level in any single 1/3-octave band.

The RMS_{90%} was calculated for each individual impact strike. Except where otherwise noted the SEL_{90%} was calculated for each individual impact strike using the following equation:

$$\text{SEL}_{90\%} = \text{RMS}_{90\%} + 10 \text{ LOG} (\tau) \quad (\text{eq. 2})$$

Where τ is the 90% time interval over which the $\text{RMS}_{90\%}$ value is calculated for each impact strike. Then the cumulative SEL (cSEL) is calculated by accumulating each of these values for each pile and each day.

For the recordings where $\text{SEL}_{90\%}$ calculation is not possible, to for each pile strike the cumulative SEL can be calculated using the following equation.

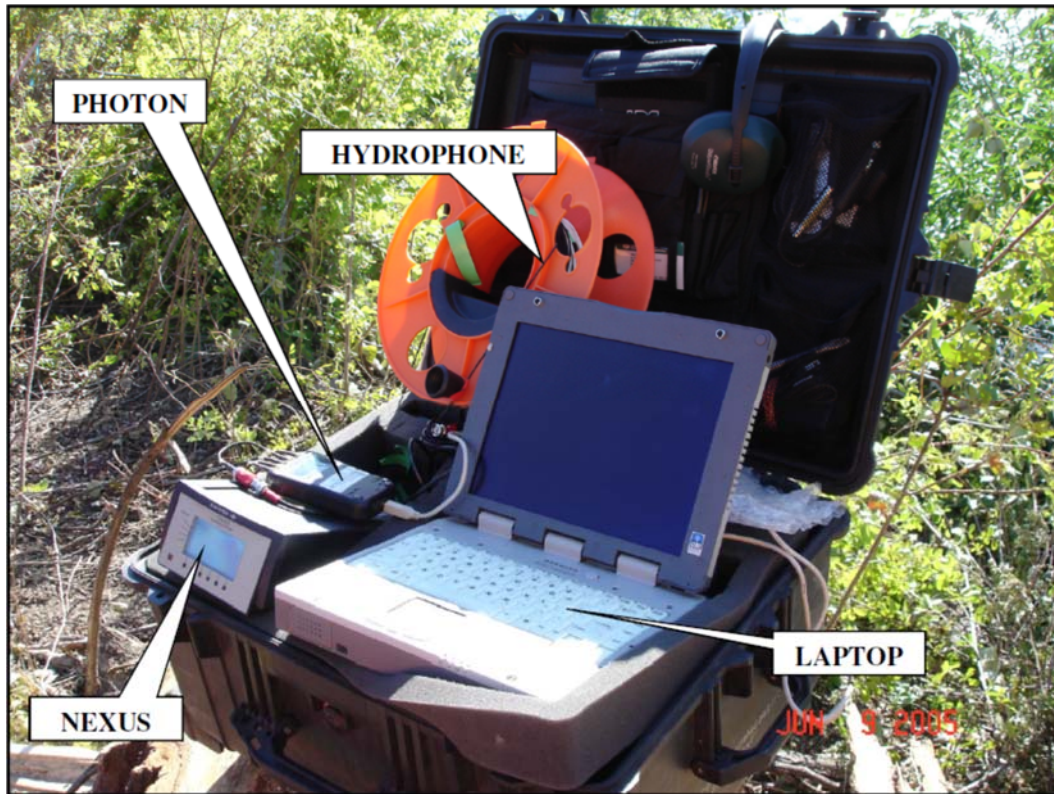
$$\text{cSEL} = \text{SEL}_{90\%} + 10 \text{ LOG (total number of pile strikes)} \quad (\text{eq. 3})$$

5 METHODOLOGY

5.1 TYPICAL EQUIPMENT DEPLOYMENT

The hydrophone was deployed from the crane barge. The monitoring equipment is outlined below and shown in Figure 3. The hydrophone was stationed and fixed with an anchor and a surface float at distance of 12 to 14 meters from the pile. An unconfined bubble curtain was deployed for all piles driven to mitigate potential underwater noise effects.

Figure 3: Near Field Acoustical Monitoring Equipment



Underwater sound levels were measured near the piles using one Reson TC 4013 hydrophone deployed on a weighted nylon cord. The measurement system includes a Brüel and Kjær Nexus type 2692 4-channel signal conditioner, which kept the high underwater sound levels within the dynamic range of the signal analyzer Figure 3. The output of the Nexus signal conditioner is received by a Brüel and Kjær Photon 4-channel signal spectrum analyzer that is attached to a Dell ATG laptop computer similar to the one shown in Figure 3.

The equipment captures underwater sound levels from the pile driving operations in the format of an RTPro signal file for processing later. The WSDOT has the system and software calibration checked annually against NIST traceable standard.

Signal recording software provided with the Photon was set at a sampling rate of one sample every 15.3 μ s (25,600 Hz). This sampling rate provides sufficient resolution to catch the peaks and other relevant data. The anti-aliasing filter included in the Photon also allows the capture of the true peak.

Data from the San Francisco-Oakland Bay Bridge Pile Installation Demonstration project (PIDP) indicated that 90 percent of the acoustic energy for most pile driving impulses occurred over a 50 to 100 millisecond period with most of the energy concentrated in the first 30 to 50 milliseconds (Illingworth and Rodkin, 2001). The RMS values computed for this project was computed over the duration between where 5% and 95% of the energy of the pulse occurs (RMS90%). The single strike SEL for each pile strike along with the total number of strikes per pile and per day was used to calculate the cumulative SEL for each pile.

Units of underwater sound pressure levels was dB (re:1 μ Pa) and units of SEL was re:1 μ Pa²•sec.

Due to the variability between the absolute peaks for each pile impact strike, a 50th percentile or L50 peak, RMS90% and SEL90% value is computed.

For purposes of characterizing pile driving source levels relevant to marine mammals, Matlab software was used for the analysis of collected data and was filtered through a high-pass filter with the cutoff frequency corresponding to the lower frequency of the functional hearing group (described in NMFS 2016, Southall et al. 2007). The list below identifies common species that occur in inland waters of Washington State by functional hearing group.

Common marine mammal species that occur in inland waters of Washington State:

- Low-frequency cetaceans: humpback, gray and minke whales
- Mid-frequency cetaceans: killer whales (resident and transient)
- High-frequency cetaceans: harbor and Dall's porpoises
- Non-Harbor Seal Pinnipeds: Steller and California sea lions, harbor seals, and northern elephant seals
- Harbor Seals

6 PILE INSTALLATION RESULTS

6.1 UNDERWATER SOUND LEVELS

WSDOT conducted hydroacoustic monitoring for 3, 30-inch steel piles struck with an impact hammer in water and a fourth 30-inch steel pile driven in an upland position. Data from all piles analyzed in the paragraphs below are also summarized in Table 4.

Pile 1

Pile 1 is located immediately adjacent to the rip rap on the shoreline at the new terminal location (Figure 2). The results for Pile 1 can be found in Table 4. Figure 4 shows the time history plot for Pile 1 for each pile strike of the peak, RMS90%, SEL90% and cumulative SEL (cSEL) levels. There is some variability in the peak strike levels and the RMS90% and SEL90% values are relatively stable but indicate a slight rise towards the end of the pile driving. Pile 1 has exceeded the auditory injury threshold for all marine mammal thresholds, except the 185 dB_{cSEL} and 203 dB_{cSEL} for the Harbor Seals and Non-harbor seals pinnipeds respectively and the disturbance threshold of 160 dB_{RMS} for all marine mammals.

Figure 4: Time history plot of individual impact strikes for Pile 1

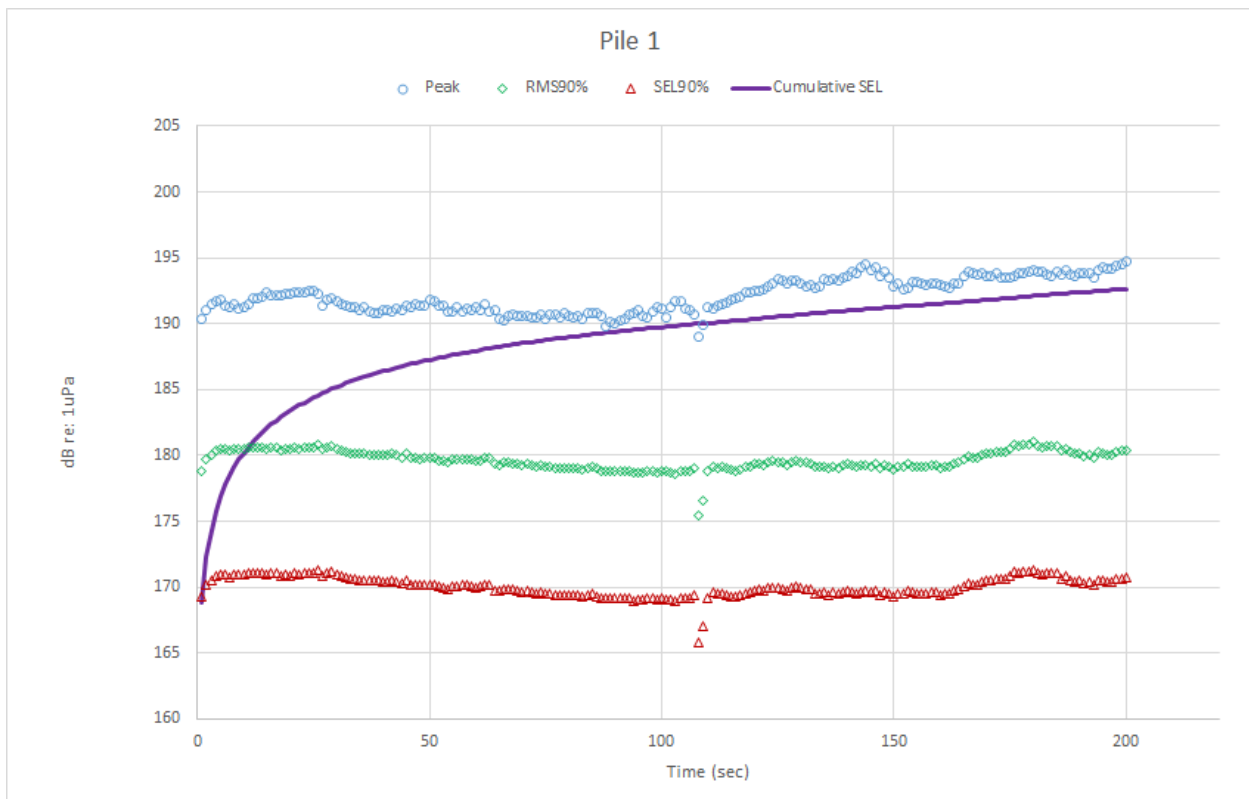


Figure 5 shows the Power Spectral Density (PSD) plot (sound pressure level as a function of frequency) for the pile drive. The plot indicates that most of the energy is below 1000 Hz.

Figure 5: Power Spectral Density Plot for Pile 1

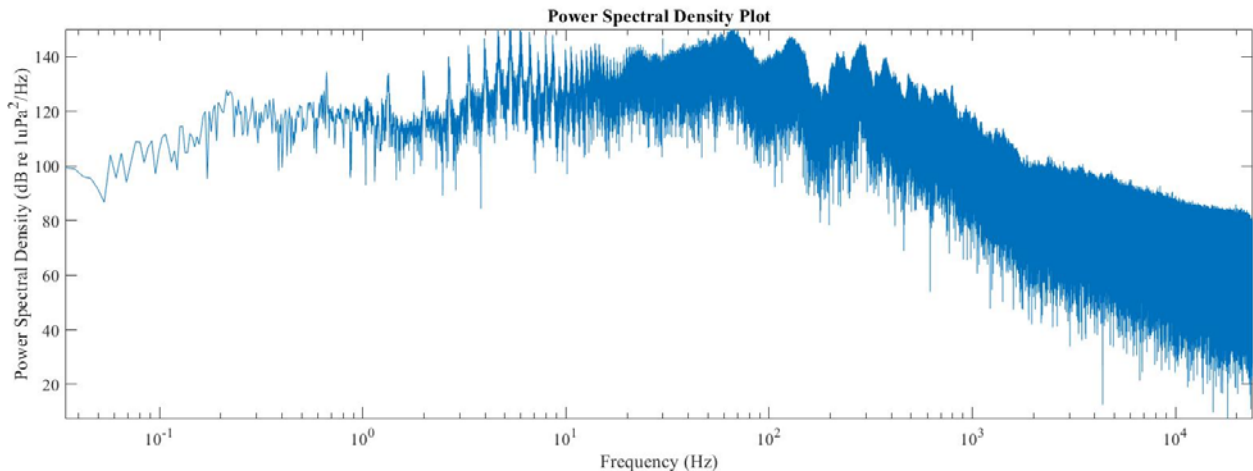
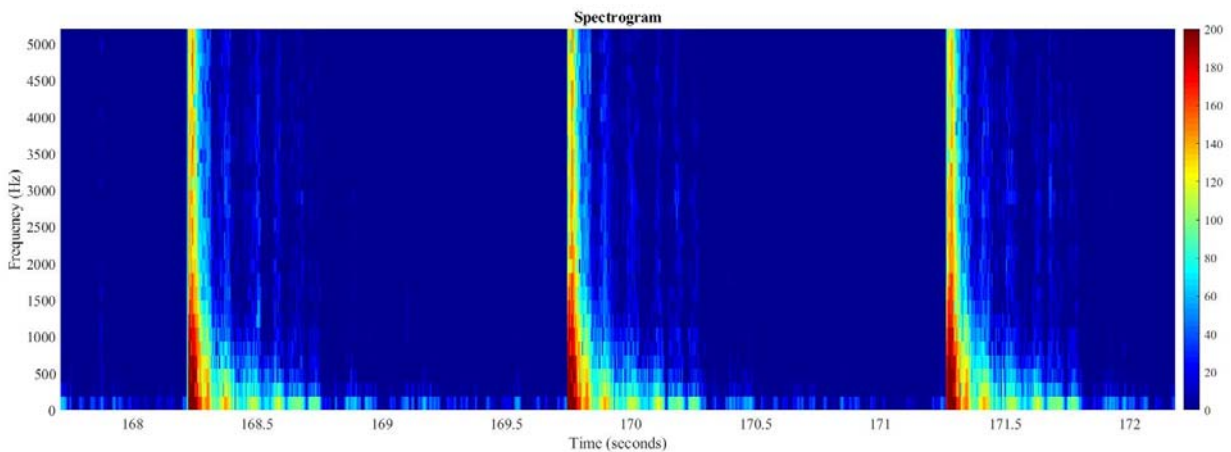


Figure 6 shows the spectrogram plot (sound intensity as a function of time and frequency) of three consecutive pile strikes. The color bar to the right indicates the decibel level. The plot indicates that most of the energy is in the initial part of the pile strike and is less than 1000 Hz.

Figure 6: Spectrogram Plot for Pile 1



Pile 2

Pile 2 is located immediately adjacent to the rip rap on the shoreline and approximately 10 meters west of Pile 1 (Figure 2). The results for Pile 2 are in Table 4. Pile 2 exceeded the auditory injury threshold for all marine mammals except for the Non-harbor seal pinnipeds. It has exceeded disturbance threshold for all marine mammal species at the distance measured.

Figures 7, 8 and 9 show the time history plot, PSD plot and spectrogram plot respectively. The peak, RMS90% and SEL90% values are relatively stable throughout the pile driving period. The PSD and spectrogram plots indicate that most of the energy in each pile strike is below about 1000 Hz.

Figure 7: Time history plot of individual pile strikes for Pile 2

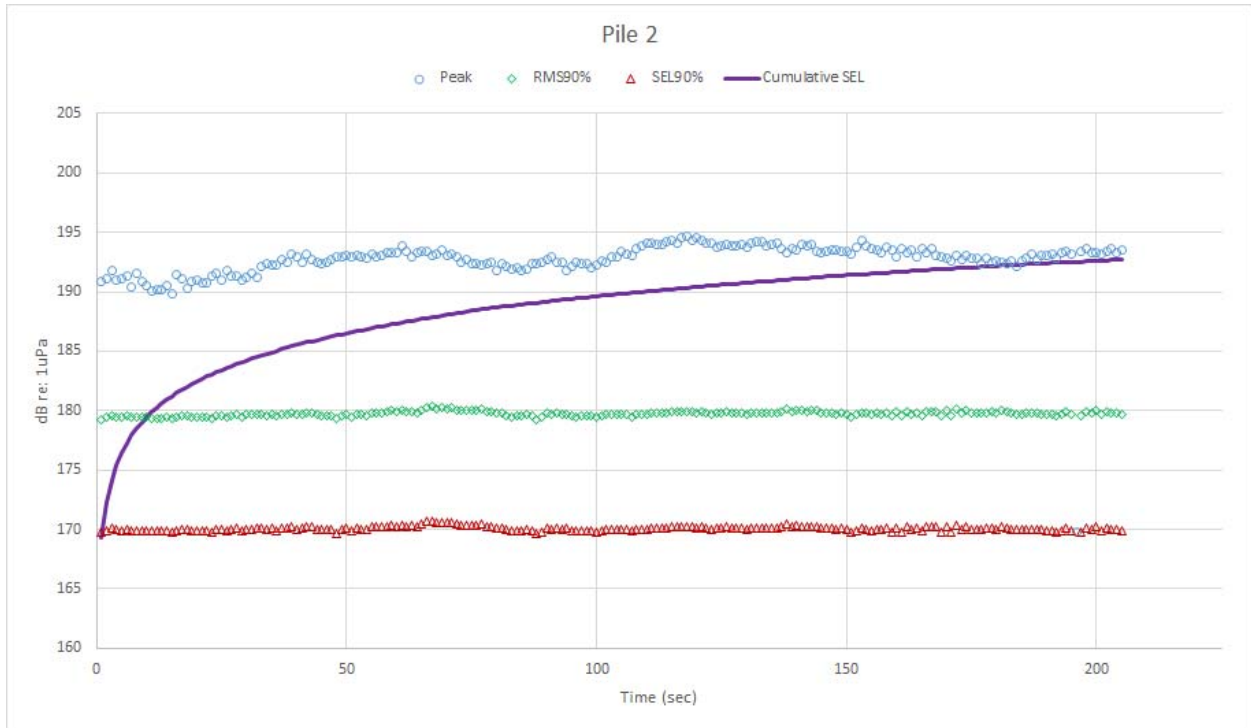


Figure 8: Power Spectral Density Plot for Pile 2

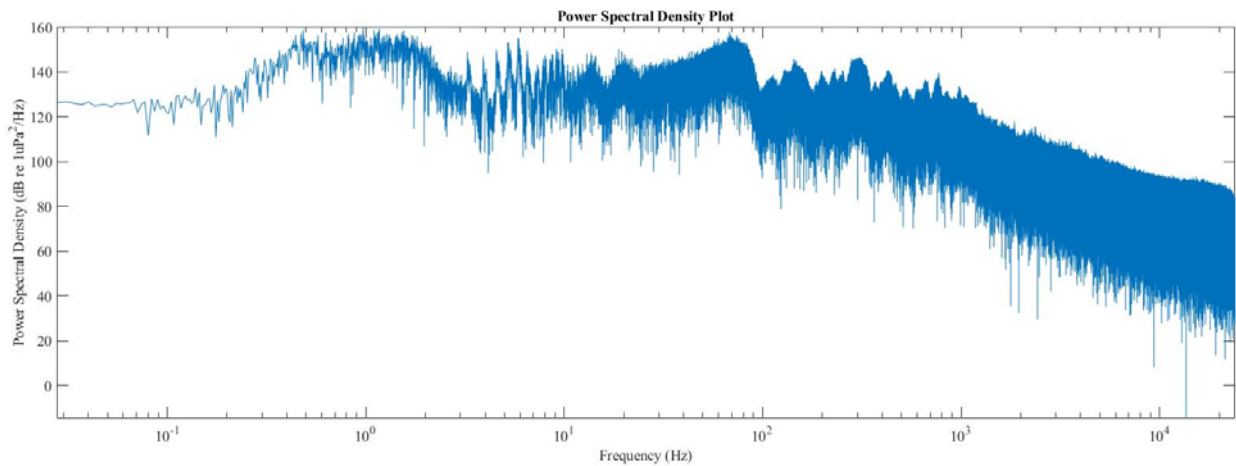
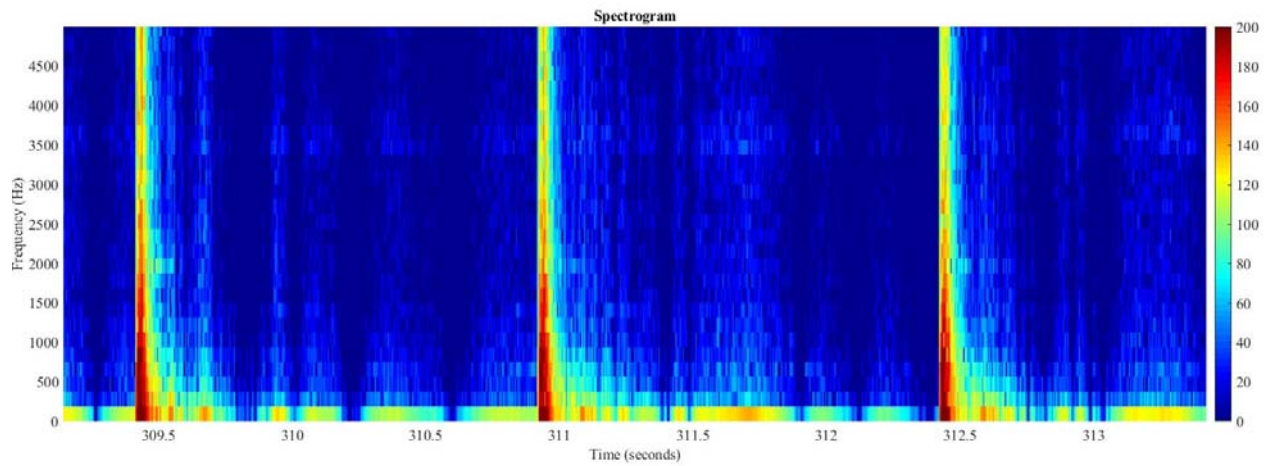


Figure 9: Spectrogram Plot for Pile 2



Pile 3

Pile 3 is located approximately 10 meters north of Pile 2. The results for Pile 3 are in Table 4. Pile 3 has exceeded the auditory thresholds for all marine mammals except for Non-harbor seal pinnipeds and the mid-frequency cetaceans and the disturbance threshold for all marine mammals.

Figures 10, 11 and 12 show the time history plot, the PSD plot and the spectrogram respectively. The time history plots in Figure 10 show that the values for the peak, RMS90% and SEL90% are relatively stable throughout the pile driving. The PSD and spectrogram plots show similar results seen for Piles 1 and 2.

Figure 10: Time history plot of individual pile strikes for pile 3

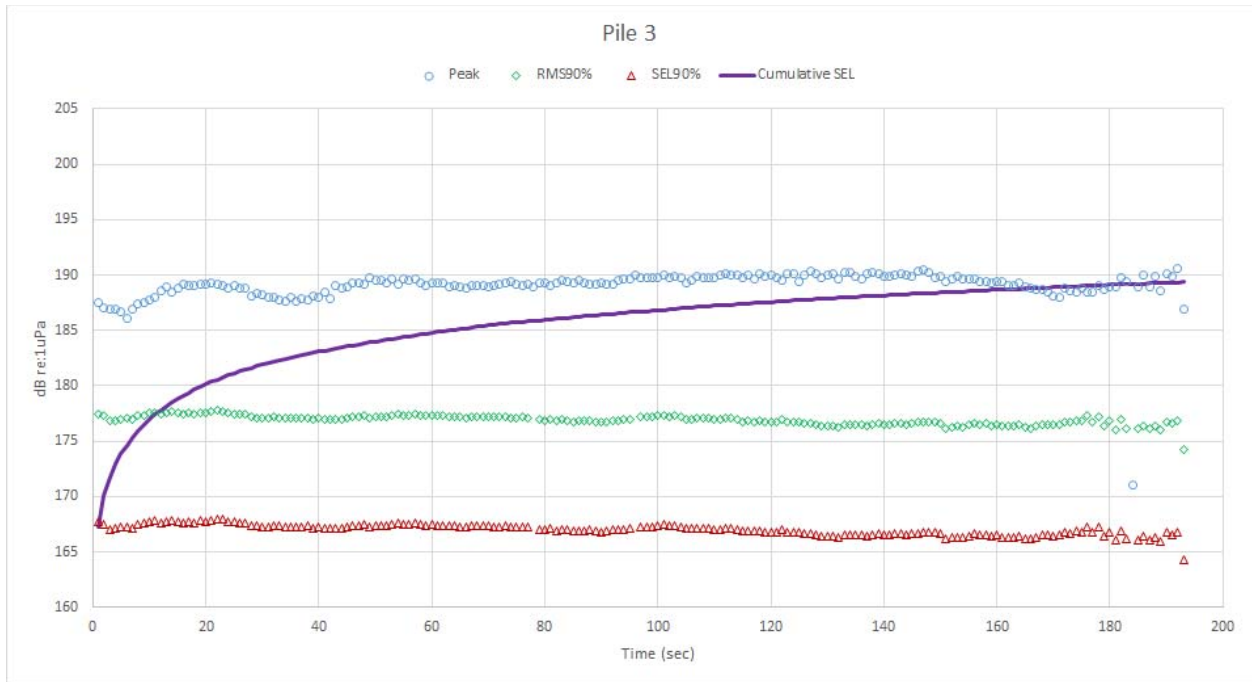


Figure 11: Power Spectral Density Plot for Pile 3

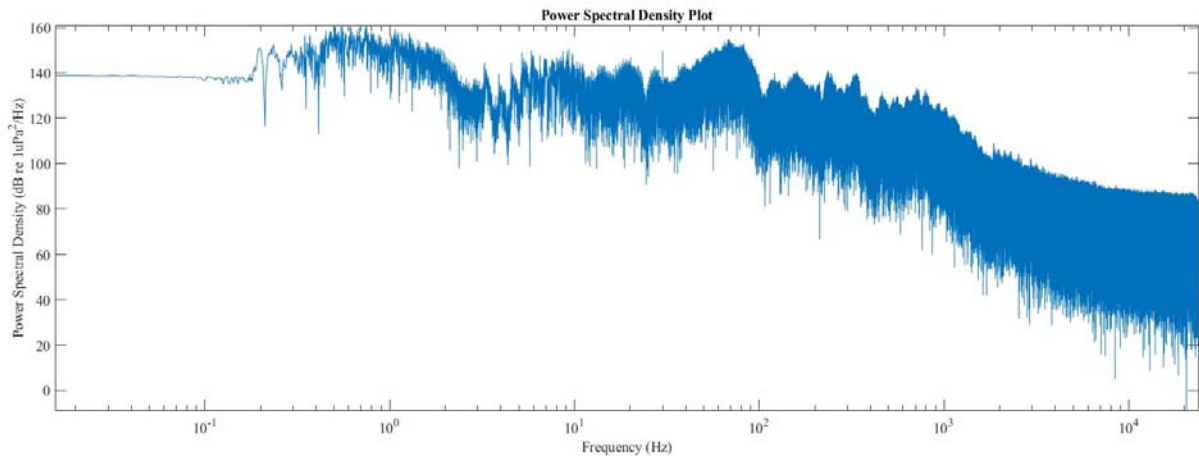
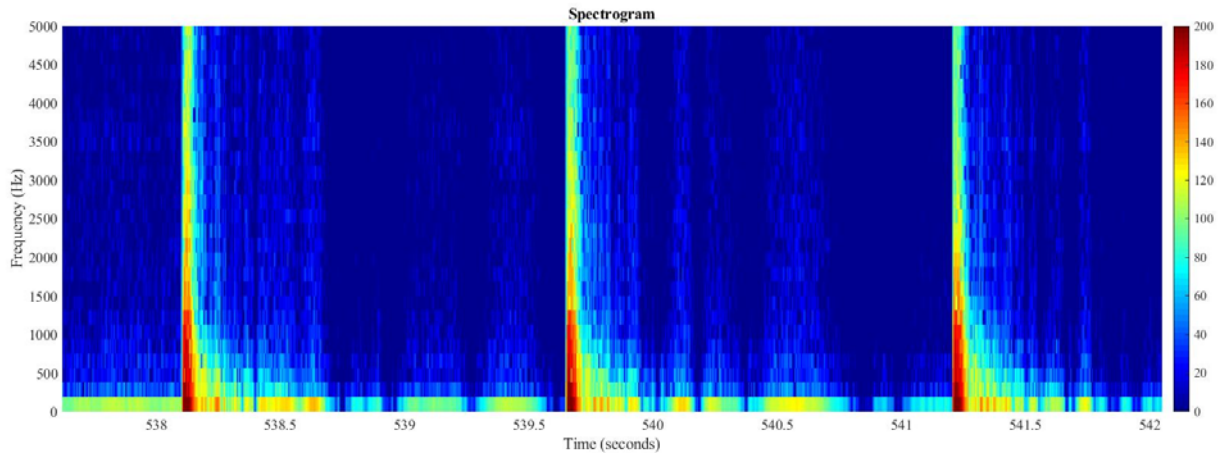


Figure 12: Spectrogram Plot for Pile 3



All the three monitored piles exceeded the behavior effects threshold RMS of 160 dB_{RMS} for all marine mammals, fish and marbled Murrelets of 160 dB_{RMS}.

6.1.1 Upland Impact Driving

Pile 4

Pile 4 is located south of Pile 1 on the existing paved pathway along the shoreline. The results for Pile 4 can be found in Table 4. Pile 4 has exceeded the auditory thresholds for all marine mammals except for Non-harbor seal pinnipeds and mid-frequency cetaceans and the disturbance threshold for all marine mammals.

Figure 13: Time history plot of individual pile strikes for Pile 4 (upland)

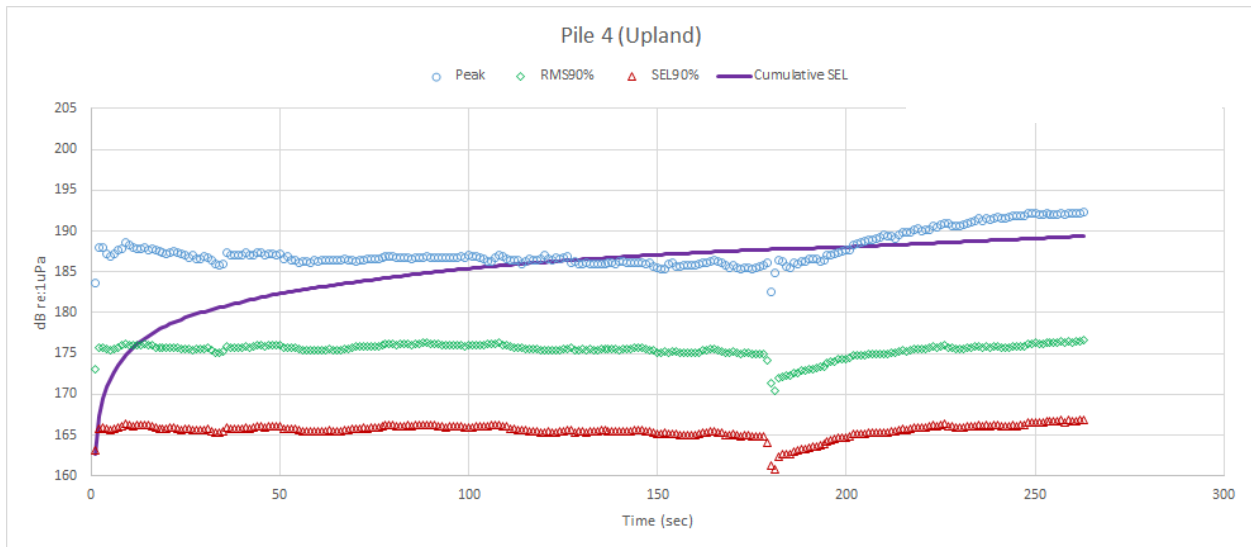


Figure 14: Power Spectral Density Plot for Pile 4 (upland)

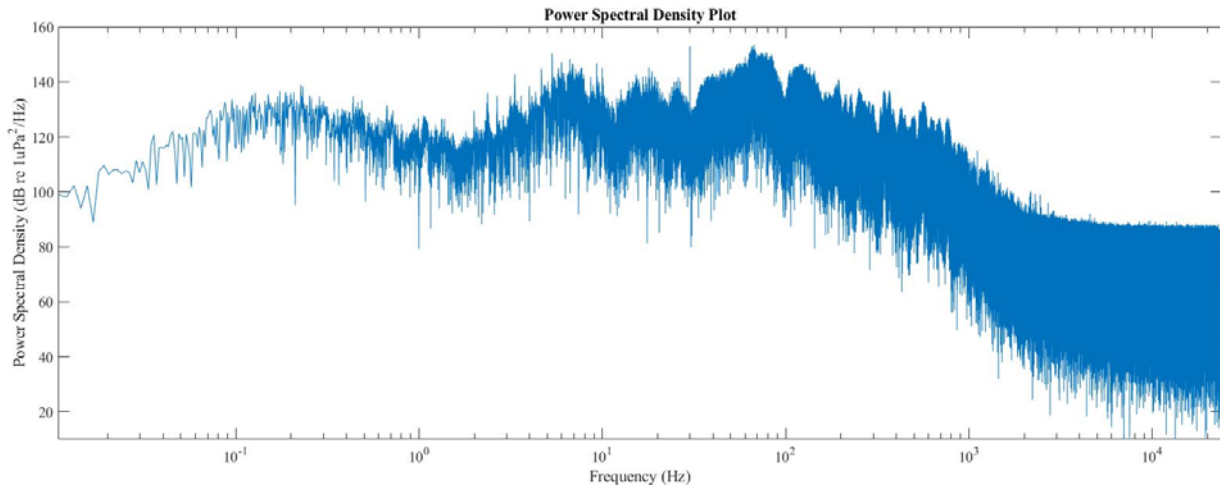


Figure 15 shows the PSD plots for Pile 3 (in water) and Pile 4 (upland). There are some slight differences in the very low frequencies below approximately 4 Hz but at frequencies that are higher there is little difference.

Figure 15: Combined PSD Plot for Pile 3 (blue, in water) and Pile 4 (red, upland)

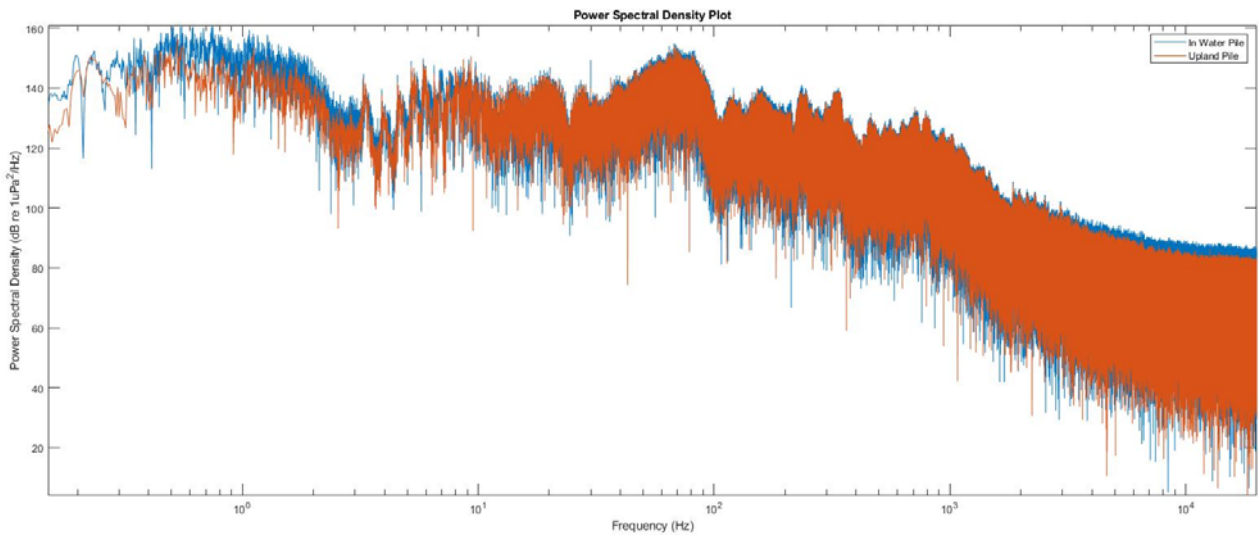


Figure 16: Spectrogram Plot for Pile 4 (upland)

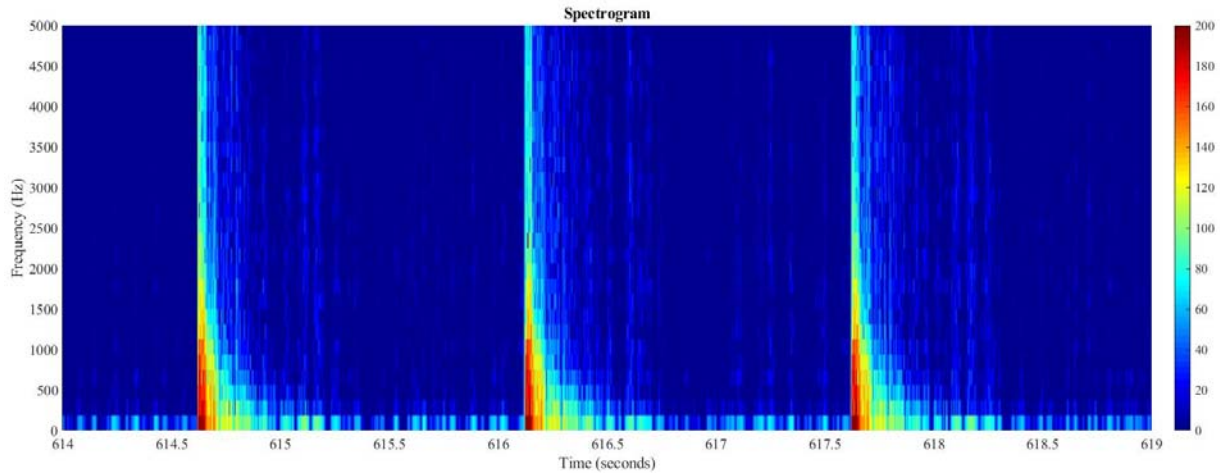


Table 4: Summary of Underwater Attenuated Sound Levels for 30-in Piles for the Mukilteo Multimodal Terminal Project

Pile #	Date & Time	Hydro -phone Depth (feet)	Total Number Of Strikes	Lower Frequency Range (Hz)	Absolute Highest Peak (dB)	Peak L ₅₀ (dB)	RMS _{90%} L ₅₀ (dB)	Single Strike SEL _{90%} (dB)	cSEL (dB)
1	10/25/17 9:45 AM	15	200	Broadband	195	190	179	169	203
				7	196	191	179	169	194
				50	192	187	176	166	191
				60	192	186	175	165	190
				150	191	181	168	158	183
				275	191	179	166	156	181
2	12/19/17 9:33 AM	15	205	Broadband	195	193	185	170	193
				7	194	193	180	170	193
				50	194	192	179	169	192
				60	193	191	179	169	191
				150	192	189	174	165	187
				275	191	187	173	160	185
3	12/19/17 9:46 AM	15	193	Broadband	190	189	179	167	189
				7	190	189	177	167	189
				50	191	188	176	167	189
				60	190	187	176	166	188
				150	186	183	169	156	181
				275	185	181	167	157	179

Table 5: Summary of Underwater Attenuated Sound Levels for 30-in Upland Pile for the Mukilteo Multimodal Terminal Project

Pile #	Date & Time	Hydro -phone Depth (feet)	Total Number Of Strikes	Lower Frequency Range (Hz)	Absolute Highest Peak (dB)	Peak L ₅₀ (dB)	RMS _{90%} L ₅₀ (dB)	Single Strike SEL _{90%} (dB)	cSEL (dB)
4	10/25/17 9:45 AM	10.5	263	Broadband	192	187	180	167	189
				7	193	187	176	166	189
				50	192	187	175	165	189
				60	192	186	175	165	188
				150	192	180	168	158	183
				275	192	179	166	156	180

6.2 DAILY CUMULATIVE SEL

The daily cSEL's were calculated using an actual SEL_{90%} for each individual pile strike for each day and accumulated over that period (Table 3).

Table 6: Summary of daily broadband cumulative SEL's

Day	Daily cSEL (dB)	Distance (m)
10/25/2017	203	12
12/19/2017	194	12-14

7 SUMMARY

A total of 4, 30-inch steel piles were monitored for the construction of the new Mukilteo Multimodal Ferry Terminal project. The underwater sound levels analyzed, produced the following results.

- Peak broadband underwater attenuated sound levels at 12 to 14 meters varied in a range between 190 dB_{Peak} and 195 dB_{Peak} with the peak L₅₀ ranging between 189 dB_{peak} to 190 dB_{peak}.
- The measured RMS_{90%} L₅₀ levels ranged between 179 dB_{RMS90%} and 185 dB_{RMS90%}.
- Cumulative Sound Exposure Levels (cSEL) for all piles driven on a particular day, ranged between 194 dB_{cSEL} and 203 dB_{cSEL}.
- For the upland pile (Pile 4) the peak levels were approximately 2 to 3 dB lower than the piles driven in the water, the RMS 90% was about the same as for Piles 1 and 3 but 5 dB lower than Pile 2. The cumulative SEL for Pile 4 was 0 dB to 14 dB lower than the piles in water. The reason the upland pile was not that different from those driven in water is likely due to the upland pile being relatively close to the water's edge.

8 REFERENCES

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- NMFS- National Marine Fisheries Service. 2016. *Technical Guidance for Assessing the Effects of Anthropogenic Sound on Marine Mammal Hearing: Underwater Acoustic Threshold Levels for Onset Permanent and Temporary Threshold Shifts*. U.S. Department of Commerce, NOAA. NOAA Technical Memorandum NMFS-OPR-55. 178 pp.
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9 APPENDIX A: WAVEFORM ANALYSIS FIGURES

Figure 17: Waveform Analysis of attenuated Pile 1 impact driven

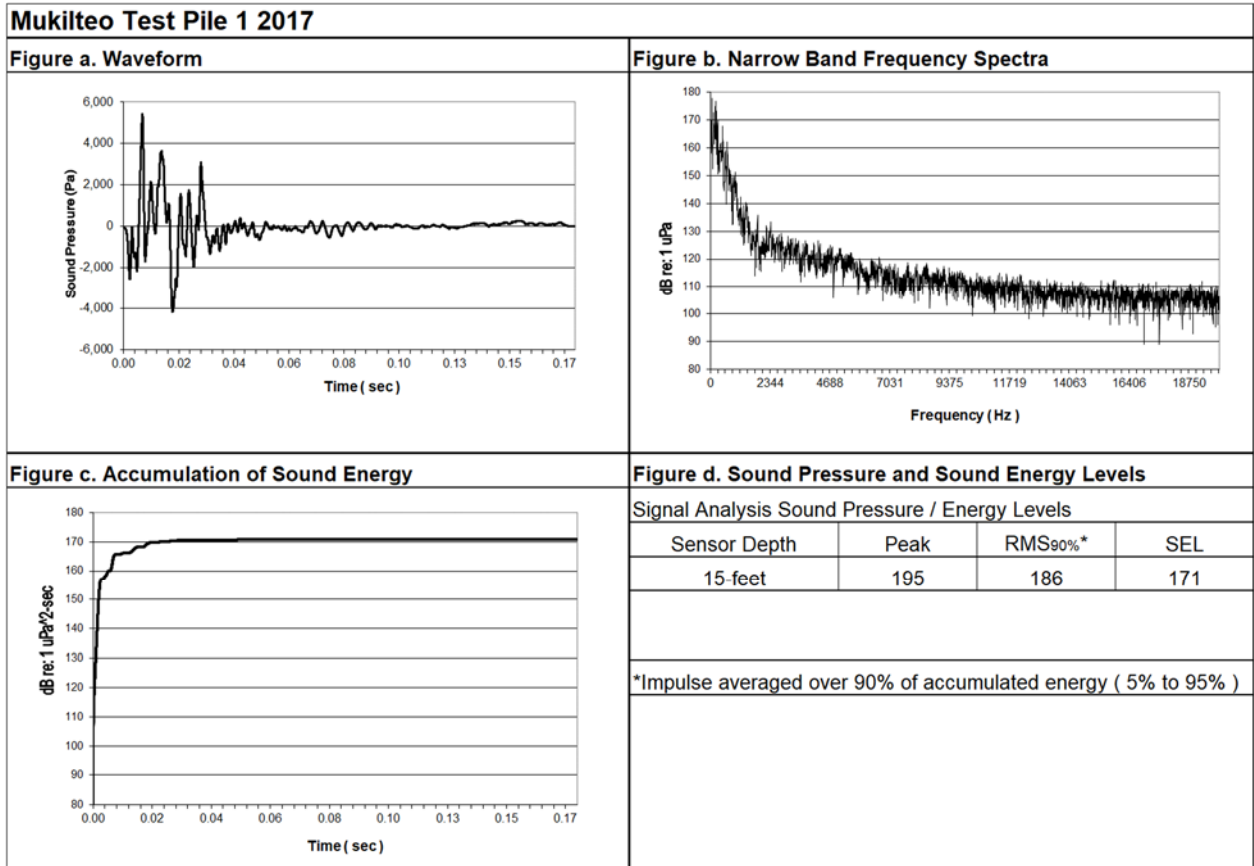


Figure 18: Waveform Analysis of attenuated Pile 2 impact driven

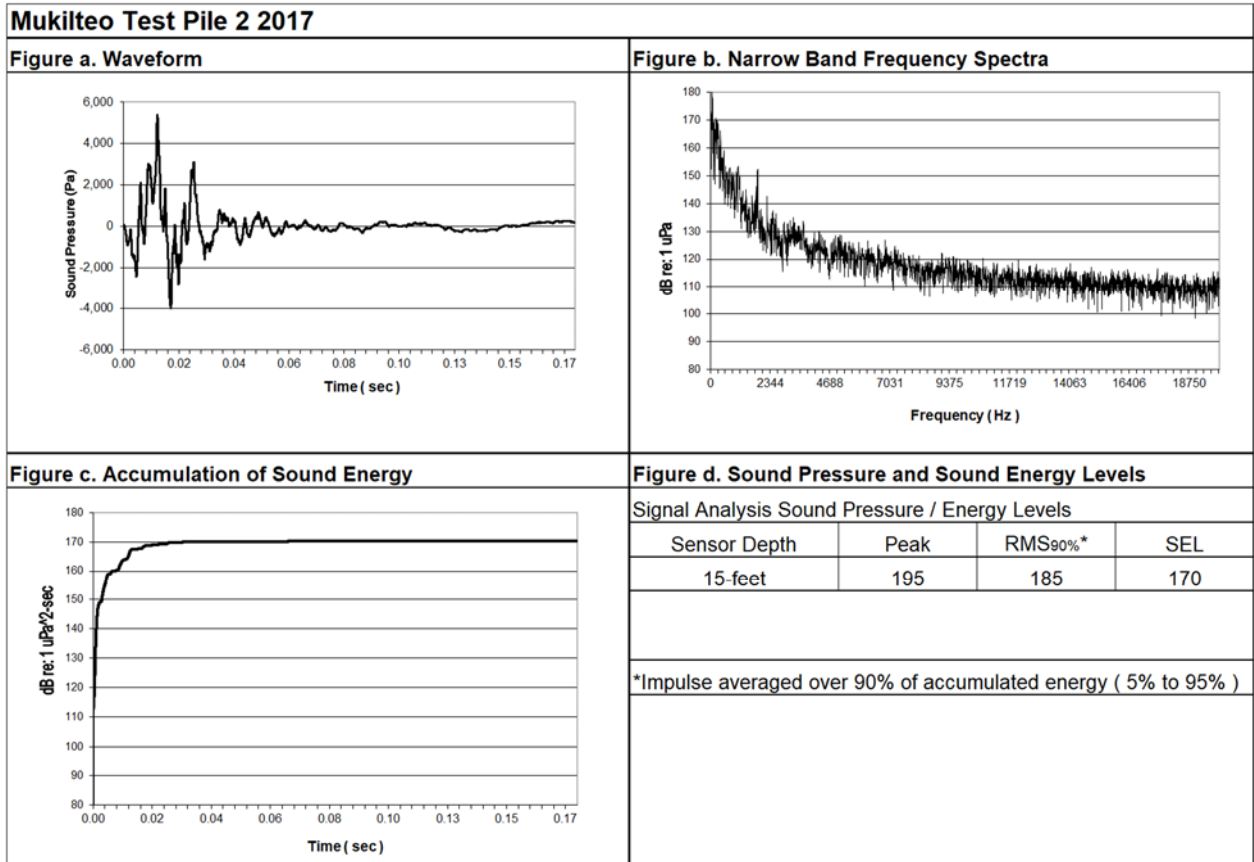


Figure 19: Waveform Analysis of attenuated Pile 3 impact driven

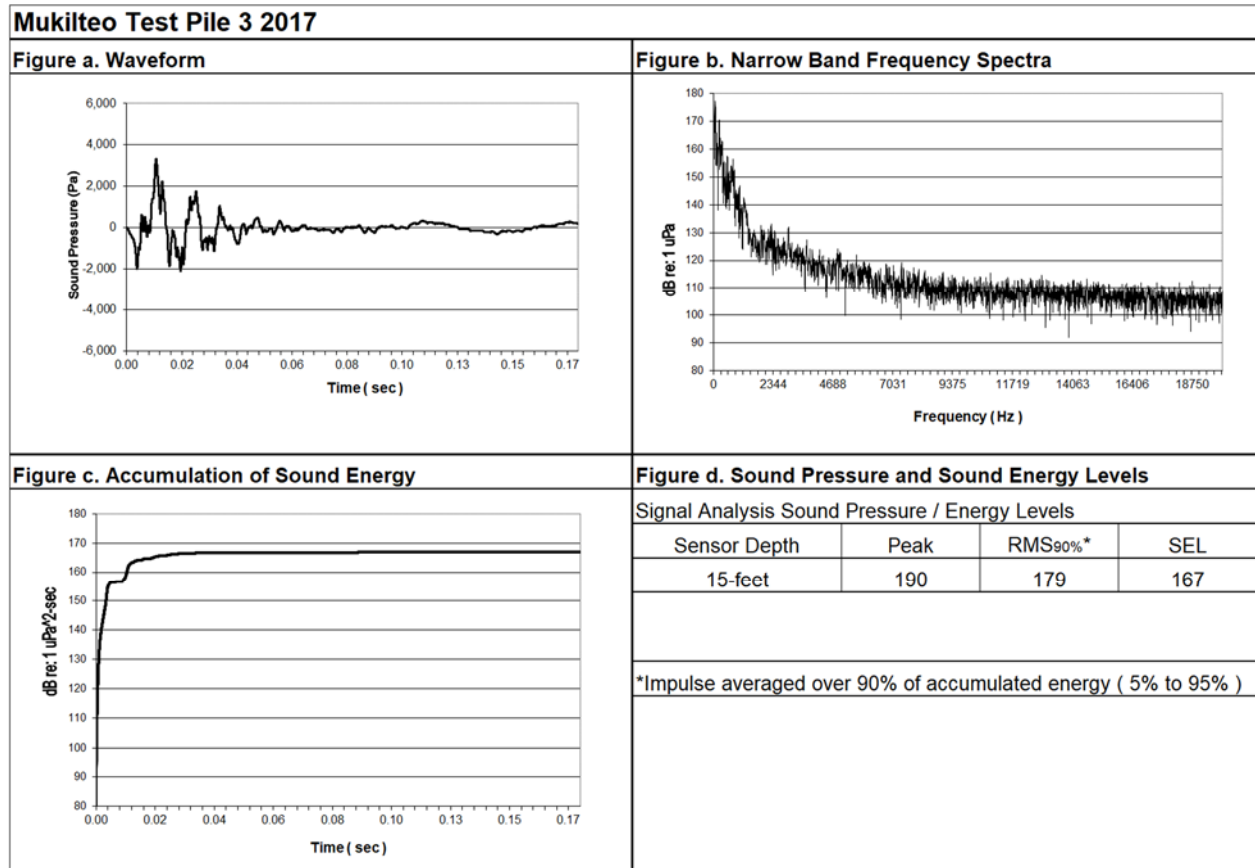
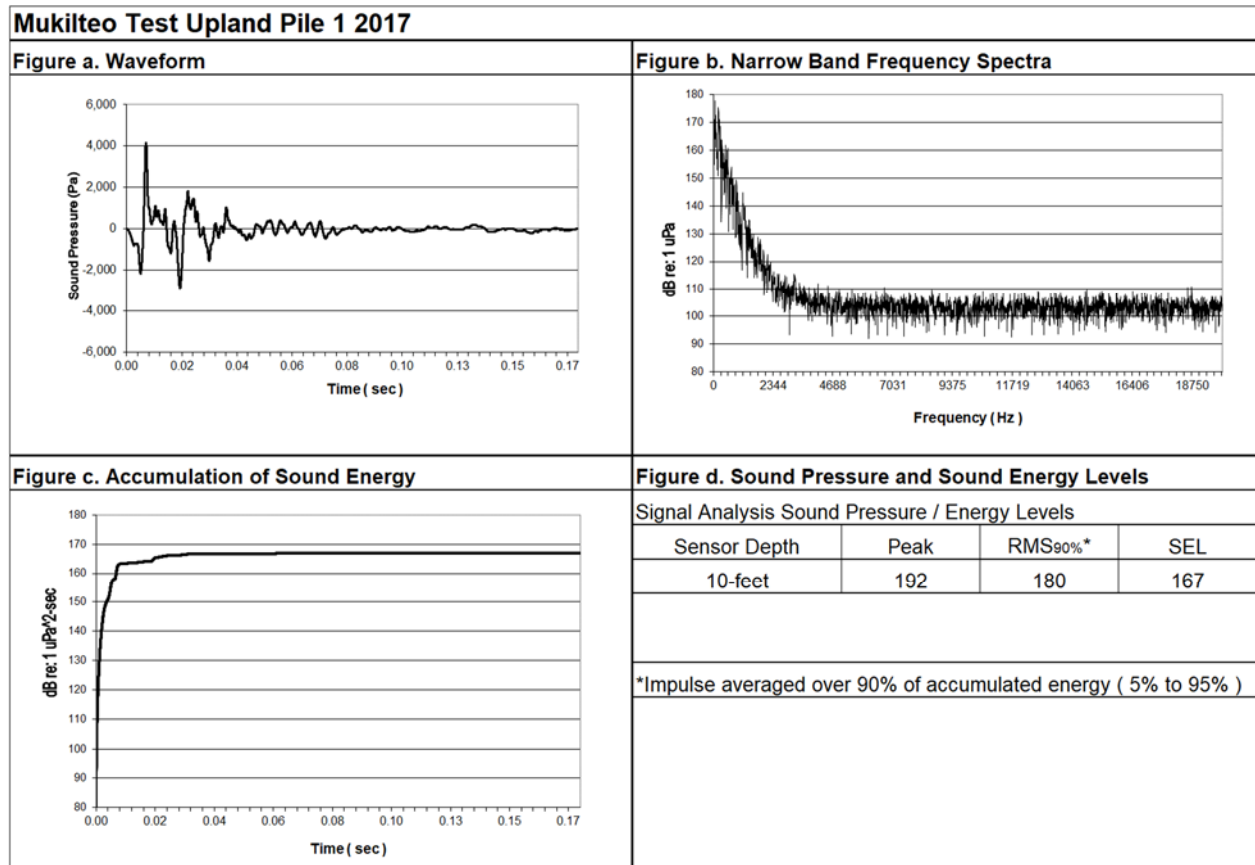


Figure 20: Waveform Analysis of upland Pile 1 impact driven



10 APPENDIX B: CALUCLATION OF CUMULATIVE SEL

An estimation of individual SEL values can be calculated for each pile strike by calculating the following integral, where T is T₉₀, the period containing 90% of the cumulative energy of the pulse (eq. 1).

$$SEL = 10 \log \left(\int_0^T \frac{p^2(t)}{p_0^2} dt \right) \quad dB \quad (\text{eq. 1})$$

Calculating a cumulative SEL from individual SEL values cannot be accomplished simply by adding each SEL decibel level arithmetically. Because these values are logarithms they must first be converted to antilogs and then accumulated. Note, first, that if the single strike SEL is very close to a constant value (within 1 dB), then cumulative SEL = single strike SEL + 10 times log base 10 of the number of strikes N, i.e, 10Log₁₀(N). However if the single strike SEL varies over the sequence of strikes, then a linear sum of the energies for all the different strikes needs to be computed. This is done as follows: divide each SEL decibel level by 10 and then take the antilog. This will convert the decibels to linear units (or uPa²•s). Next compute the sum of the linear units and convert this sum back into dB by taking 10Log₁₀ of the value. This was the cumulative SEL for all of the pile strikes.